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Progeny of spectrum

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Prof. P C Vaidya National Conference on Mathematical Science Gujarat Ganit Mandal and Department of Mathematics, Sardar Patel University Gujarat.

March 16, 2022

1 Part I

- Spectrum
- Broad themes

2 Part II

- Condition spectrum
- Continuity

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- Spectrum
- Broad themes



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- $\bullet\,$ not invertible $\sim\,$ not one-one $\sim\,$ not onto.

$$A: \mathbb{C}^n \to \mathbb{C}^n$$

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Spectrum

Spectral value - infinite dimensional spaces

Let $T : \mathcal{X} \to \mathcal{X}$ be a linear map.

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Part I Spectrum Part II

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Rich results are available for the case when \mathcal{X} is a Banach space or a Hilbert space \mathcal{H} . That is when $T \in B(\mathcal{X})$ or $T \in B(\mathcal{H})$

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D. Sukumar, IITH Progeny of spectrum

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As we need scalar multiplication, addition, product/multiplication, we consider an unital algebra.

$$\sigma(a) := \{\lambda \in \mathbb{C} : a - \lambda 1 \text{ is not invertible}\}$$

As we need scalar multiplication, addition, product/multiplication, we consider an unital algebra. Further, in the case of Unital Banach algebra \mathcal{A} we get $\sigma(a)$ be a non-empty compact subset of \mathbb{C} .

1 Part I

- Spectrum
- Broad themes



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Variations and notions

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D. Sukumar, IITH Progeny of spectrum

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Variations and notions

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• Understanding - Curiosity - exponential spectrum.

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- Application Approximation Pseudospectrum

Exponential spectrum

Understanding - Math curiosity

Let \mathcal{A} be a unital Banach algebra and $Exp(\mathcal{A})$ denote the set of exponential elements of the Banach algebra.

$$\mathsf{Exp}(\mathcal{A}) := \{ e^{\mathsf{a}_1} \cdot e^{\mathsf{a}_2} \cdots e^{\mathsf{a}_n} : \mathsf{a}_1, \mathsf{a}_2, \dots, \mathsf{a}_n \in \mathcal{A}, n \geq 1 \}$$

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- Exp(A) is the principle component of Inv(A) containing 1.

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$$\sigma(\mathbf{a}) := \{\lambda \in \mathbb{C} : \mathbf{a} - \lambda \mathbf{1} \notin \mathsf{Inv}(\mathcal{A})\}.$$

$$\sigma_{exp}(\mathbf{a}) := \{\lambda \in \mathbb{C} : \mathbf{a} - \lambda \mathbf{1} \notin \mathsf{Exp}(\mathcal{A})\}$$

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• Called as exponential spectrum of a

$$\sigma(a) \subseteq \sigma_{exp}(a).$$

Spectrum Broad themes

Exponential spectrum

non commutativity of exponential spectrum

For $a, b \in \mathcal{A}$, does the spectrum commute

 $\sigma(ab) = \sigma(ba)?$

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Part I Spectrum Part II Broad themes

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For $a, b \in A$, does the exponential spectrum commute

$$\sigma_{exp}(ab) \setminus \{0\}
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Spectrum Broad themes

Exponential spectrum

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Theorem

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There exists a, b \in C(\mathbb{S}^4, M_2(\mathbb{C}))
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Spectrum Broad themes

Exponential spectrum

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Theorem

There exists a, $b \in C(\mathbb{S}^4, M_2(\mathbb{C}))$ such that ^a

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where
$$\mathbb{S}^4 := \{(z_0, z_1, z_2) \in \mathbb{C}^3 : \sum_{i=0}^2 |z_i|^2 = 1, Imz_2 = 0\}$$

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Spectrum Broad themes

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Does there exist a Banach space E and operators S, T on E such that

$$\sigma_{exp}(ST) \setminus \{0\} \neq \sigma_{exp}(TS) \setminus \{0\}?$$

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Part I Spectrum Part II Broad themes

Ransford spectrum

Axiomatic (unifying) Abstraction

$\sigma(\mathbf{a}) := \{\lambda \in \mathbb{C} : \mathbf{a} - \lambda 1 \notin \mathsf{Inv}(\mathcal{A})\}$

¹T. J. Ransford. Generalised spectra and analytic multivalued functions.

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 Part I
 Spectrum

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 Broad themes

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Part I Part II Spectrum Broad themes Axiomatic (unifying) Abstraction

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Part I Part II Broad themes
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- $\Omega \subseteq X$ where X is a norm linear space¹.
- Pseudoconvexity of Ω gives
 - non-empty, compactness
 - spectral radius formula

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Let ${\mathcal A}$ be a complex unital Banach algebra with unit.

Definition (
$$\epsilon$$
- pseudo spectrum ($\epsilon > 0$))

$$\Lambda_{\epsilon}(a) := \left\{ \lambda \in \mathbb{C} : \lambda - a \notin Inv(\mathcal{A}) \text{ or } \|(\lambda - a)^{-1}\| \ge \frac{1}{\epsilon} \right\}$$

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• $\sigma(a) \subseteq \Lambda_{\epsilon}(a)$, for every $a \in A$ and for every $\epsilon > 0$.

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Condition spectrum Continuity



2 Part II

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Condition spectrum

$$\Omega = \left\{ \pmb{\mathsf{a}} \in \mathit{Inv}\mathcal{A} : \|\pmb{\mathsf{a}}^{-1}\| < rac{1}{\epsilon}
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Redefining (a possible way)

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For
$$0 < \epsilon < 1$$
, $\Omega = \left\{ a \in Inv\mathcal{A} : \|a\| \|a^{-1}\| < \frac{1}{\epsilon} \right\}$

Condition spectrum

Axiomatic, Approximation, Application

Let A be a complex unital Banach algebra with unit.

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σ_ϵ(a) is non-empty³, compact subset of C.
 σ(a) ⊆ σ_ϵ(a), for every a ∈ A and for every ϵ > 0.

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Image: A = A = A

³S. H. Kulkarni and D. Sukumar. The condition spectrum.

Condition spectrum

Axiomatic, Approximation, Application

Let A be a complex unital Banach algebra with unit.

Definition (ϵ - Condition spectrum ($0 < \epsilon < 1$))

$$\sigma_{\epsilon}(a) := \left\{ \lambda \in \mathbb{C} : \lambda - a \notin Inv(\mathcal{A}) \text{ or } \|\lambda - a\| \|(\lambda - a)^{-1}\| \geq \frac{1}{\epsilon} \right\}$$

- $\sigma_{\epsilon}(a)$ is non-empty³, compact subset of \mathbb{C} .
- 2 $\sigma(a) \subseteq \sigma_{\epsilon}(a)$, for every $a \in A$ and for every $\epsilon > 0$.
- σ_ϵ(a) has finite components and each component has a spectral value.

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2 Part II

- Condition spectrum
- Continuity

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Condition spectrum Continuity

Properties as a set valued map (correspondance)

Part I Part II

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Condition spectrum Continuity

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Part I Part II

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Properties as a set valued map (correspondance)

$$\sigma_{\epsilon}(a) := \left\{ \lambda \in \mathbb{C} : \|a - \lambda\| \| (a - \lambda)^{-1} \| \ge \frac{1}{\epsilon}
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The set valued maps (correspondence)

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$$C_{\epsilon}: a \rightarrow \sigma_{\epsilon}(a)$$

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Properties as a set valued map (correspondance)

Part I

Part II

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Hemi-continuity of pseudospectrum⁴.

⁴Arundhathi Krishnan and S. H. Kulkarni. Pseudospectrum of an element of a Banach algebra. Oper. Matrices, 11(1):263–287, 2017 < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Set valued map

Definition (Upper and lower hemicontinuous)

A correspondence $\phi: X \twoheadrightarrow Y$ between topological space is upper hemicontinuous at the point $x \in X$ if every neighbourhood U of $\phi(x)$ there is a neighbourhood V of x such that

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Condition spectru Continuity

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continuous at $x \in X$ if it is both upper and lower hemicontinuous at x.

Continuity of condition spectrum

$$\sigma_\epsilon({\sf a}):=\left\{\lambda\in\mathbb{C}:\|{\sf a}-\lambda\|\|({\sf a}-\lambda)^{-1}\|\geqrac{1}{\epsilon}
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• $C_a: \epsilon \to \sigma_{\epsilon}(a)$ is upper hemicontinuous⁵.

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C_a: ε → σ_ε(a) is lower hemicontinuous iff the interior of L_ε(a) empty.

⁵D. Sukumar and S. Veeramani. Continuity of a condition spectrum and its level sets. J. Aust. Math. Soc., 108(3):412–430, 2020 $\triangleleft \square \square \square \square \square \square \square \square \square \square$

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- Similarly results for L_{ϵ} using the sub-correspondence.

⁵D. Sukumar and S. Veeramani. Continuity of a condition spectrum and its level sets. J. Aust. Math. Soc., 108(3):412–430, 2020 $\langle \Box \rangle + \langle \overline{C} \rangle + \langle \overline$

Unavoidable assumption

Interior point of the boundary

Study of Shargarodsky problem ⁶

$$egin{aligned} & \Lambda_\epsilon(a) := \left\{ \lambda \in \mathbb{C} : \|(a-\lambda)^{-1}\| \geq rac{1}{\epsilon}
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⁶E. Shargorodsky. On the definition of pseudospectra. Bull. Lond. Math. Soc., 41(3):524–534, 2009

Part II

Unavoidable assumption

Interior point of the boundary

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Part I Part II

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When is the interior of $L\Lambda_{\epsilon}(a)$ empty?.

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Thank you.

D. Sukumar, IITH Progeny of spectrum

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