# Fooling machines that have limited computational power

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But anyhoo, the answer does not affect purely theoretical areas like:

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Why is this question interesting to mathematics?

An area of computer science that needs the answer to be Yes is:

Randomized Algorithms

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Randomized Algorithms

- One of the most elegant areas of computer science.
- Almost always use lesser resources than deterministic counterparts.
- Many times there are no deterministic counterparts.
- Randomized algorithms are used widely in practice.

Hence the question of the existence of randomness is very important.

#### "Toss a coin dude, it'll look random lol"

- some dude on the internet, maybe

#### **Coin Toss**

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Then the outcome is simply a deterministic function.

The function could be very hard to compute before the coin lands!

Could it be true that limited computational power makes events look completely random even if they are not?

## Could it be true that limited computational power makes events look completely random even if they are not?

Nisan '92 shows that indeed this is true, and proves it formally!

Nisan '92

COMBINATORICA 12 (4) (1992) 449-461

**COMBINATORICA** Akadémiai Kiadó – Springer-Verlag

#### PSEUDORANDOM GENERATORS FOR SPACE-BOUNDED COMPUTATION

#### NOAM NISAN\*

Received December 3, 1989 Revised June 16, 1992

Pseudorandom generators are constructed which convert  $O(S\log R)$  truly random bits to R bits that appear random to any algorithm that runs in SPACE(S). In particular, any randomized polynomial time algorithm that runs in space S can be simulated using only  $O(S\log n)$  random bits. An application of these generators is an explicit construction of universal traversal sequences (for arbitrary graphs) of length  $n^{O(\log n)}$ .

The generators constructed are technically stronger than just appearing random to spacebounded machines, and have several other applications. In particular, applications are given for "deterministic amplification" (i.e. reducing the probability of error of randomized algorithms), as well as generalizations of it.

This talk borrows ideas and some notation from the excellent lecture notes by Ryan O' Donell. https://www.cs.cmu.edu/~odonnell/complexity/docs/lecture16.pdf

#### The Problem:

There is a randomized algorithm  $\mathcal{A}$  that:

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We would like to:

- ▶ Draw only *O*(*s* log *R*) random bits from uniform. Call this *x*.
- Generate a string y of length R using x deterministically.
- Feed y to A as the "random" bits.

• Output Yes or No with probabilities similar to that of A. Think of  $s \in O(\log n)$ . Then,  $O(s \log R) \in O(\log^2 n)$ .

#### Definition

A function  $G : \{0, 1\}^n \to \{0, 1\}^m$   $\epsilon$ -fools a randomized algorithm A that uses m bits of randomness if for all inputs x

$$\left| \Pr_{r \sim U_m} [A(x, r) \text{ accepts}] - \Pr_{y \sim U_n} [A(x, G(y)) \text{ accepts}] \right| \leq \epsilon$$

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We should think of  $n \ll m$ .

i.e., G takes a small string of length n from the uniform distribution and stretches it to a length m string that *looks random* to the algorithm A.

Such a function is called a pseudorandom generator.

Our goal is to construct a pseudorandom generator that can fool every space O(s) algorithm.

Some Assumptions:

- ► The input *x* is given to us.
- The algorithm A uses randomness in blocks of k bits.
- ► The TM A corresponding to A has a unique accepting configuration.
- A configuration of a TM typically looks like this:

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Some Assumptions:

- ► The input *x* is given to us.
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Fact: A TM that uses space s

- ▶ has at most 2<sup>O(s)</sup> configurations.
- has running time at most  $2^{O(s)}$ .

From the given TM A and input x, we construct the following state machine:

- State/Vertex set V is the set of all  $m = 2^{O(s)}$  possible configurations.
- The start state is  $c_0$  and accepting state is  $c_{acc}$ .
- $c_{\rm acc}$  has a self loop. No outgoing edges.
- Transitions are labelled by strings in  $\{0, 1\}^k$ .

The edges correspond to transitions from a configuration u to v after reading k random bits.

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For every pair of vertices  $u, v \in V$ ,

 $(u, v) \in E$  with label  $t \in \{0, 1\}^k$   $\oplus$ *A* goes from *u* to *v* after reading *t* as the random string.

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Observation: Every state (except  $c_{acc}$ ) has  $2^k$  transitions going out. Denote the above state machine as an (*m*,*k*)-automaton.

An (m, k)-automaton D will have a transition function that looks like:

$$\delta: V \times \{0,1\}^k \to V$$

 $\delta(u; x) = v \iff D$  goes from state *u* to *v* with *x* as the random string

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Let the running time be  $t = 2^{cs}$ .

Our goal is to output "Yes" with probability close to  $M^t[c_0, c_{acc}]$ . (Think of powering adjacency matrices for unweighted graphs)

A fast way to power matrices is via repeated squaring.

$$\mathcal{M} \longrightarrow \mathcal{M}^2 \longrightarrow \mathcal{M}^4 \longrightarrow \cdots \longrightarrow \mathcal{M}^t$$

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How could we output "Yes" with probability close to  $M^2[u, v]$ ?

Naïve way:

- Pick  $x_1, x_2 \in \{0, 1\}^k$  uniformly and independently at random.
- Follow the path in the automaton graph starting from u labelled x<sub>1</sub> and x<sub>2</sub>.
- Output "Yes" if we land at *v*.

i.e., Output "Yes" if  $\delta(\delta(u; x_1); x_2) = v$ .

By definitions, we would output "Yes" with probability  $M^2[u, v]$ .

Can we use fewer random bits to get a similar effect?

Nisan's idea:

- to pick string  $x_1$  at random.
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Short detour into pairwise independent hash families...

## Universal Hash Families

**Definition**:

A family *H* of functions from  $h : \{0, 1\}^n \to \{0, 1\}^m$  is a pairwise independent hash family if for all  $x_1, x_2 \in \{0, 1\}^n$ ,  $x_1 \neq x_2$ , and  $y_1, y_2 \in \{0, 1\}^m$ , we have:

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#### **Definition**:

Let  $A, B \subseteq \{0, 1\}^k$  and  $h: \{0, 1\}^k \to \{0, 1\}^k$ . Let  $\alpha = |A|/2^k$  and  $\beta = |B|/2^k$ . The function *h* is " $\tau$ -independent for (A, B)" if

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$$\left|\Pr_{x}[x \in A \land h(x) \in B] - \alpha\beta\right| < \tau$$

Fact: If *h* is chosen at random from a pairwise independent hash family, then:

$$\Pr_h[h ext{ is } \mathbf{not } au ext{-independent for } (A, B)] \leq rac{1}{ au^2 2^k}$$

Define shorthand  $\delta^2(u; x_1, x_2) = \delta(\delta(u; x_1); x_2)$ . Then we have:

$$M^{2}[u, v] = \Pr_{x_{1}, x_{2}}[\delta^{2}(u; x_{1}, x_{2}) = v]$$

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How different are  $M^2$  and  $M_h$ ?

**Lemma:** Let *D* be an (m, k)-automaton, and *M* it's transition matrix. Then:

$$\Pr_{h \sim H_k}[\left\| M^2 - M_h \right\|_{\infty} \ge \epsilon] \le \frac{m'}{\epsilon^2 2^k}$$

where  $\|M\|_{\infty}$  denotes the the largest row sum of abs values in the matrix.

**Proof:** Fix an entry u, v, and assume h has been picked from a pairwise independent hash family. Then we have:

$$\begin{split} |M[u, v] - M_{h}[u, v]| \\ &= \left| \Pr_{x_{1}, x_{2}} [\delta^{2}(u; x_{1}, x_{2}) = v] - \Pr_{x} [\delta^{2}(u; x, h(x)) = v] \right| \\ &= \left| \sum_{w=1}^{m} \Pr_{x_{1}, x_{2}} [\delta(u; x_{1}) = w \land \delta(w; x_{2}) = v] - \sum_{w=1}^{m} \Pr_{x} [\delta(u; x) = w \land \delta(w; h(x)) = v] \right| \\ &\leq \sum_{w=1}^{m} \left| \Pr_{x_{1}, x_{2}} [\delta(u; x_{1}) = w \land \delta(w; x_{2}) = v] - \Pr_{x} [\delta(u; x) = w \land \delta(w; h(x)) = v] \right| \\ &\leq \sum_{w=1}^{m} \left| \Pr_{x_{1}} [\delta(u; x_{1}) = w] \Pr_{x_{2}} [\delta(w; x_{2}) = v] - \Pr_{x} [\delta(u; x) = w \land \delta(w; h(x)) = v] \right| \\ \end{aligned}$$

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Define  $A_{u,w} = \{x \mid \delta(u; x) = w\}$  and  $B_{w,v} = \{x \mid \delta(w; x) = v\}$ .

Suppose *h* is  $\tau = (\epsilon/m^2)$ -indep for every  $(A_{u,w}, B_{w,v})$ . Then by definition of  $\tau$ -independence, and union bound, we get:

$$|\mathcal{M}[u,v] - \mathcal{M}_h[u,v]| \le m \cdot (\epsilon/m^2) = \frac{\epsilon}{m}$$

From property of hash family:

$$\Pr_{h}[h \text{ is not } \tau\text{-independent for } A_{u,w}, B_{w,v})] \leq \frac{1}{\tau^{2}2^{k}} = m^{4}/\epsilon^{2}$$

Union bound over all *u*, *w*, *v* to get:

$$\Pr_h[h ext{ is bad}] \leq m^3 \cdot rac{m^4}{\epsilon^2} = rac{m^7}{\epsilon^2 2^k}$$

In picking  $x \in \{0, 1\}^k$  and an  $h \in H_k$ , did we really save a lot?

- Hash families with linear space descriptions are known.
- So choosing  $h \in H_k$  needs only O(k) bits of randomness.
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The idea of using hash functions scales extremely well: Computing  $M^{4}[u, v]$ :

- We pick  $x \in \{0, 1\}^k$  and only two hash functions  $h_1, h_2$ .
- The strings we generate are x,  $h_1(x)$ ,  $h_2(x)$  and  $h_1(h_2(x))$ .

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Computing  $M^{8}[u, v]$ :

- We pick  $x \in \{0, 1\}^k$  and only three hash functions  $h_1, h_2, h_3$ .
- ► The strings we generate are:
  x, h<sub>1</sub>(x), h<sub>2</sub>(x), h<sub>1</sub>h<sub>2</sub>(x), h<sub>3</sub>(x), h<sub>1</sub>h<sub>3</sub>(x), h<sub>2</sub>h<sub>3</sub>(x), h<sub>1</sub>h<sub>2</sub>h<sub>3</sub>(x).

In general, to compute  $M^{2^s}$ , we will use *s* many hash functions. And we will still be very close to  $M^{2^s}$ :

Theorem

$$\Pr_{h_1,h_2,...,h_s \sim H_k} \left[ \left\| M^{2^s} - M_{h_1,h_2,...,h_s} \right\| > (2^s - 1)\epsilon \right] \le s \frac{m'}{\epsilon^2 2^k}$$

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Let's calculate the number of pure random bits used for the general case of estimating  $M^{2^s}$ :

- Picking  $x \in \{0, 1\}^k$  needs k bits of randomness
- Picking  $h_1, \ldots h_s$  needs O(sk) bits of randomness.

Think of  $s \in O(\log n)$ , and choose  $k \in O(s)$ . This gives number of random bits needed as  $O(s^2) \in O(\log^2 n)$ .

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Choosing  $\epsilon \in 1/2^{O(s)}$  works for the bounds. Please see the paper for the exact choices!

#### Pseudorandom Generator

The generator from the paper is defined recursively:

$$G_0(x)=x$$

 $G_x(x, h_1, \ldots, h_k) = G_{k-1}(x, h_1, \ldots, h_{k-1}) \circ G_{k-1}(h_k(x), h_1, \ldots, h_{k-1})$ 

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$$G_0(x) = x$$
  
 $G_1(x, h_1) = x h_1(x)$   
 $G_2(x, h_1, h_2) = x h_1(x) h_2(x) h_1(h_2(x))$ 

Thank you!