Tutorial on Streaming Codes

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- 2 TPC Co-Chairs: Ketan Rajawat, Debashis Ghosh & Rohit Budhiraja
- Tutorial Committee: R K Bansal, Amalendu Patnaik, Srikrishna Bhashyam

for the invitation...

Motivation - from NCC 2014, IIT Kanpur!



Gerhard Fettweis, "Designing the Physical Layer of 5G for Enabling the Tactile Internet"

- What sets 5G apart from prior generations is the emphasis on latency
- Low-latency communication will revolutionize education, mobility, healthcare, sports, entertainment, gaming,....

The General Goal

Reliable, lowlatency communication

Some Potential Coding Strategies



Streaming Codes - An Added Option



ToC

Streaming Code Setting

- The GE Channel Model
- The Sliding Window Approximation



- 3 Our Approach
- 4 Our Results
- 5 Construction of Streaming Codes
- 6 Block Erasure Probability of Streaming Codes Over GE Channel

7 An Experimental Attempt at Channel Adaptation

Setting Addressed by Streaming Codes

- Sequence of information-bearing packets *s*₀, *s*₁, . . ., sent over an erasure channel
- Packet drops (erasures), shown below in in blue, due to
 - network congestion,
 - a degraded wireless link, or
 - a packet that arrives too late.



Goal: use packet-level FEC to ensure best-possible tradeoff between rate and reliability

• under decoding-delay constraint of au packets

The GE Channel Model

Packet Erasure Model: The Gilbert-Elliott Channel



- Gilbert Elliott (GE) is a two-state channel model
 - ▶ $\mathbf{G} \equiv \text{Good State}, \ \mathbf{B} \equiv \text{Bad State}$
 - PEC is a packet-level erasure channel
 - * ϵ_0 is the probability of packet erasure in good state
 - * ϵ_1 is the probability of packet erasure in bad state
- capable of generating the random and burst erasures that one might encounter in practice

Potential Applications of Streaming Codes



• Commonality: Underlying each of these applications is:

- ► a stream of packets: voice, video or data
- the need for reliable, low-latency communication

The Sliding Window Approximation

Tractable Approximation to the GE Channel

Challenging to design codes for the GE channel to ensure a desired reliability level

Our approach (following work of Prof Ashish Khisti's group ¹):

- Replace the GE channel with a tractable approximation
- a sliding-window channel model that introduces burst and random erasures

¹Badr et al., "Layered Constructions for Low-Delay Streaming Codes," *IEEE Trans. Inf. Theory*, 2017.

The Sliding-Window (SW) Channel Model

An **admissible erasure pattern** is one in which, within each sliding window of w-packet duration, there are

- either $\leq a$ random erasures,
- or else, a burst of $\leq b$ erasures

Eg.

$$(a = 2, b = 4, w = 5, \tau = 4)$$



The DCSW Channel Model

Combining the

- sliding-window channel model with the
- τ -packet decoding-delay constraint,

we arrive at the Delay-Constrained, Sliding Window (DCSW) channel model.

Span and Weight of an Erasure Pattern

- Consider a window of size w = 6.
- 2⁶ erasure patterns possible.
- The example erasure pattern below has
 - (Hamming) weight 2 and
 - ▶ span 4.



GE Channel: Empirical Probabilities of Erasure Patterns

	b = 6			0.0098%	0.0005%	0.0000%	0.0000%	0.0002%
span	b = 5			0.020%	0.0008%	0.0001%	0.0005%	
	b = 4			0.029%	0.0008%	0.0017%		
	b = 3			0.04%	0.01%			
	b = 2			0.06%				
	b = 1		5.75%					
	b = 0	94.08%						
		a=0	a=1	a=2	a=3	a=4	a=5	a=6
		weight						

- Table shows empirical probabilities of
 - erasure patterns with weight a
 - and span b
 - over window of length $\tau + 1 = 6$

• of an example GE Channel: ($\alpha=10^{-4},\ \beta=0.6,\ \epsilon_0=0.01,\ \epsilon_1=1$)

• Erasure probabilities in red add to $< 10^{-5}$.

Our Consequent, Packet-Level FEC Approach

Given

- a GE channel model,
- a delay constraint au, and
- a desired probability P_e of unrecoverable packets

our approach is to:

- select an $\{a, b, w, \tau\}$ DCSW channel approximation of highest rate achieving desired probability P_e of unrecoverable packets
- then employ a rate-optimal packet-level FEC for that $\{a, b, w, \tau\}$ channel

ToC

1 Streaming Code Setting

2 Quick Review of Error-Correcting Codes

- Linear Codes
- MDS Codes
- Convolutional Codes
- Key Upper Bound on Code Rate
- Sub-optimality of MDS Codes

3 Our Approach

4 Our Results

- 5 Construction of Streaming Codes
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Linear Codes

Linear Codes

We begin with some background on linear codes

- An [n, k] code $\mathcal C$ denotes a linear code of length n and dimension k
- By which we mean a subspace C of \mathbb{F}_{q}^{n} having dimension k
- Code symbols are drawn from a finite field \mathbb{F}_q of size q
- Rate *R* of the code is $\frac{k}{n}$

Linear Codes and Erasure Recovery

Let [n, k] linear code C have parity-check matrix H. Then

 $\underline{c} \in \mathcal{C}$ iff $H\underline{c} = \underline{0}$.

If for example n = 7 and

$$H = \begin{bmatrix} \underline{h}_1 & \underline{h}_2 & \underline{h}_3 & \underline{h}_4 & \underline{h}_5 & \underline{h}_6 & \underline{h}_7 \end{bmatrix},$$

and there are erasures in positions 1, 3, 6, then

- the code can recover from all three erasures iff
 - $\{\underline{h}_1, \underline{h}_3, \underline{h}_6\}$ are linearly independent,
- on the other hand, to recover c_1 alone, it suffices if
 - \underline{h}_1 is linearly independent of $\{\underline{h}_3, \underline{h}_6\}$.

Hamming Code Recovery as an Example

• [7,4] Hamming code

$${m H} = \left[egin{array}{cccccccc} 1 & 0 & 0 & 1 & 1 & 0 & 1 \ 0 & 1 & 0 & 1 & 0 & 1 & 1 \ 0 & 0 & 1 & 0 & 1 & 1 & 1 \ \end{array}
ight]$$

• Suppose code symbols 1,3,6 are erased.

$$\begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\1 \end{bmatrix} are linearly independent,$$

• code can recover all three erased symbols

Hamming Code Recovery as an Example

• Suppose code symbols 1,2,3,6 are erased.

$$H = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$
$$\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

• can not recover all four erased symbols together

$$\begin{bmatrix} 1\\0\\0 \end{bmatrix} \text{ is linearly independent of } \left\{ \begin{bmatrix} 0\\1\\0 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\1 \end{bmatrix} \right\}$$

• can recover *c*₁

MDS and Reed-Solomon Codes

Maximum Distance Separable (MDS) Codes

An [n, k] MDS code is a linear block code over a finite field \mathbb{F}_q that possess the 'any k of n' property

- the message symbols can all be recovered if one has access to any k of n symbols
- this class includes Reed-Solomon codes
- the minimum Hamming distance d_{min} between a pair of distinct codewords in an MDS code satisfies the Singleton bound

$$d_{\min} \leq n-k+1,$$

with equality and the codes are hence said to be maximum distance separable.

The Underlying Principle of Reed-Solomon (RS) Codes



- Assume that this is the plot of a polynomial of degree 5
- then its values at any 6 of the 9 points shown are sufficient to determine its values everywhere else
- thus the code can recover from 3 symbol erasures
- Here (*n* = 9, *k* = 6, *d*_{min} = 4), so

$$k = n - d_{\min} + 1.$$

All Arithmetic Takes Place in A Finite Field

$$\mathbb{F}_3 \triangleq \{0,1,2\}$$



(an example finite field of 3 elements under modulo-3 arithmetic)

Convolutional Codes

Convolutional Code Example

- rate $\frac{1}{2}$ code
- k = 1, n = 2
- Polynomial generator matrix $G(D) = \begin{bmatrix} 1 + D + D^2 & 1 + D^2 \end{bmatrix}$



Trellis Diagram



MDS Convolutional Code



Return to the Streaming Code Setting

Recall: The Sliding-Window (SW) Channel Model

An **admissible erasure pattern** is one in which, within each sliding window of *w*-packet duration, there are

- either $\leq a$ random erasures,
- or else, a burst of $\leq b$ erasures

Eg.

$$(a = 2, b = 4, w = 5, \tau = 4)$$



Key Upper Bound on Code Rate

Upper Bound on Code Rate R for the DCSW Channel

The erasure pattern below needs to be handled:



It follows that

$$R = \frac{k}{n} \leq \frac{w-a}{(w-a) + b}$$
Upper Bound on Code Rate R for the DCSW Channel

The erasure pattern below also needs to be handled:



It follows that

$$R = \frac{k}{n} \leq \frac{\tau + 1 - a}{(\tau + 1 - a) + b}$$

Upper Bound on Code Rate R for the SW Channel

Thus both erasure patterns below need to be handled:



It follows that

$$R = \frac{k}{n} \leq \frac{\tau_{\rm eff} + 1 - a}{(\tau_{\rm eff} + 1 - a) + b}; \quad \tau_{\rm eff} + 1 = \min\{w, \tau + 1\}$$

But this is achievable with $w = \tau + 1$. Hence we assume WOLOG that

w =
$$\tau + 1$$

and dispense with w. We will henceforth speak of an $\{a, b, \tau\}$ DCSW channel.

Badr et al., "Layered Constructions for Low-Delay Streaming Codes," *IEEE Trans. Inf. Theory*, 2017.

Sub-optimality of MDS Codes

MDS Codes are Sub-Optimal

Recall the rate upper bound for Sliding-Window Channel:

$$R \leq rac{ au+1-a}{(au+1-a) \ + \ b} \ := \ R_{ ext{opt}}.$$

Let C be an [n, k] MDS code. Then necessarily

 $n \leq \tau + 1$ (from the latency requirement) $n-k \geq b$ (to handle a burst of *b* erasures).

Hence rate

$$\begin{aligned} R_{\text{MDS}} &= 1 - \frac{(n-k)}{n} \leq 1 - \frac{b}{\tau+1} = \frac{\tau+1-b}{\tau+1} \\ &< \frac{\tau+1-b+(b-a)}{\tau+1+(b-a)} = R_{\text{opt}}, \end{aligned}$$

if a < b. Thus MDS codes do not achieve R_{opt} for a < b.

Note on Minimum Possible Block Length

Recall the rate upper bound for Sliding-Window Channel:

$$R \leq rac{ au+1- extbf{a}}{(au+1- extbf{a}) \ + \ b} \ := \ R_{ ext{opt}}.$$

• If numerator and denominator of R_{opt} expression are relatively prime, then $n = \tau + 1 - a + b$ is the minimum possible block length of rate-optimal code.

(we will indirectly exploit this fact when we bring back MDS codes later into the picture)

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Block or Convolutional Coding

One could employ codes that at the packet-level are either

- block or
- convolutional codes

Our Approach

• Construct packet-level codes that are built out of scalar block codes using one of:

- Horizontal Embedding (HE)
- Diagonal Embedding (DE) or its variations



Horizontal-Embedding



x(0) x(1) x(2) x(3) x(4) x(5) x(6) x(7) x(8) x(9) x(10) x(11)

- each column is a packet
- each row is a distinct codeword of an example [8, 4] code C
- leads to a packet-level block code
- we are in effect inserting redundant packets

Diagonal-Embedding (DE)

m1	m ₁	m_1								
	m ₂	m ₂	m ₂							
		m ₃	m33	m33						
			m4	m ₄	m4					
				\mathbf{p}_1	p_1	\mathbf{p}_1				
					p ₂	p ₂	p_2			
						p ₃	p ₃	p ₃		
							p_4	p ₄	p ₄	

 $\underline{x}(0) \ \underline{x}(1) \ \underline{x}(2) \ \underline{x}(3) \ \underline{x}(4) \ \underline{x}(5) \ \underline{x}(6) \ \underline{x}(7) \ \underline{x}(8) \ \underline{x}(9) \ \underline{x}(10) \ \underline{x}(11)$

- each column is a packet
- $\bullet\,$ each diagonal is a distinct codeword of an example [8,4] code ${\cal C}\,$
- we are in effect, expanding each individual packet

Martinian and Trott, "Delay-Optimal Burst Erasure Code Construction," ISIT, 2007.

Diagonal-Embedding

m_1	m_1	m ₁								
	m ₂	m ₂	m ₂							
		m ₃	m ₃	m ₃						
			m4	m ₄	m4					
				\mathbf{p}_1	p ₁	\mathbf{p}_1				
					p ₂	p ₂	p ₂			
						p ₃	p ₃	p ₃		
							p ₄	p ₄	p ₄	

 $\underline{x}(0) \ \underline{x}(1) \ \underline{x}(2) \ \underline{x}(3) \ \underline{x}(4) \ \underline{x}(5) \ \underline{x}(6) \ \underline{x}(7) \ \underline{x}(8) \ \underline{x}(9) \ \underline{x}(10) \ \underline{x}(11)$

- a parity symbol in a packet is a function of message symbols in prior packets
- this is thus an instance of convolutional encoding

Staggered Diagonal Embedding (variant of DE)

- Codewords are embedded diagonally with gaps in the packet stream.
- The tiling of the 2D grid shown below under SDE, may be regarded as a kind of interleaving
- Such interleaving is not possible in general, with horizontal embedding



Dispersion of Code Symbols under SDE

• Dispersion span N: number of consecutive packets across which codewords are spread.



Generalized Diagonal Embedding (a second variant of DE)

 Similar to DE except that each codeword may have more than one symbol per packet

с ₁									
c ₂									
c ₃	с ₃	c ₃	c ₃	c ₃					
	с ₄	с ₄	с ₄	с ₄	c ₄				
		с ₅	с ₅	с ₅	c ₅	c ₅			
			с ₆	с ₆	с ₆	c ₆	с ₆		
				c ₇	c ₇	c ₇	c ₇	с ₇	
					c ₈				
					c ₉	c ₉	с ₉	с ₉	с ₉
					c ₁₀				

 $\underline{x}(0)$ $\underline{x}(1)$ $\underline{x}(2)$ $\underline{x}(3)$ $\underline{x}(4)$ $\underline{x}(5)$ $\underline{x}(6)$ $\underline{x}(7)$ $\underline{x}(8)$ $\underline{x}(9)$

By Streaming Code, we will here mean

- a packet-level erasure-recovery code that is designed to efficiently communicate over the Sliding-Window channel
- ullet while permitting decoding under decoding-delay constraint au

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Our Results

- Rate-Optimal Streaming Code Constructions:
 - Jigsaw Code
 - The Explicit Jigsaw Code
 - Simple Streaming (SS) Codes
 - Long SDE Code
- Near-rate-Optimal Streaming Code Constructions Having Low Field Size
- Performance Evaluation over the GE Channel
- An Experimental Attempt at Channel Adaptation

Rate-Optimal Constructions of Streaming Codes

Name	Embed- ding	Dispersion Span	Field Size	Based on MDS Codes ?	Parameter Range Covered
Jigsaw*	DE	>(au+1)	Quadratic	No	all $\{a, b, \tau\}$
Dominovitz et al	DE	>(au+1)	Quadratic	No	all $\{a, b, \tau\}$
Jigsaw (explicit)	DE	>(au+1)	Quadratic	No	all $\{a, b, \tau\}$
SS codes	SDE	=(au+1)	Linear	Yes	$ au+1=a \pmod{b}$
Long SDE	SDE	>(au+1)	Linear	No	(au+1-a,b)=g $a\leq g< b$

* not fully explicit

Near Rate-Optimality of Simple Streaming Codes

- $\tau + 1 = mb + \rho$
- Rate-optimal when $\rho = a$



Other Approaches to

Reliable Low-Latency Communication

Other Approaches: Packet Duplication





Packet duplication is clearly inefficient and corresponds to using a repetition code

Other Approaches: Physical-Layer FEC



Other Approaches: ARQ



Automatic Repeat Request

ARQ schemes incur an undesirable round-trip transmission delay

Other Approaches: MDS Convolutional Codes



Other Approaches: Raptor Codes

Reliable, lowlatency communication Raptor Codes

Raptor codes are block codes of relatively large block length, have overhead that is larger than that of an MDS code and are not designed to handle burst erasures

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- 5 Construction of Streaming Codes
 - Literature on Streaming Codes
 - Construction Requirements
 - Implications of Requirements on the Parity-Check Matrix
 - The Jigsaw Code
 - The Explicit Jigsaw Code
 - Staggered Diagonal Embedding
 - Simple Streaming Codes
 - Long SDE Code

Streaming Codes

By Streaming Code, we will here mean

- a packet-level erasure-recovery code that is designed to efficiently communicate over the Sliding-Window channel
- ullet while permitting decoding under decoding-delay constraint τ

Work on streaming codes

- originated at MIT
- continued at the University of Toronto (Prof Ashish Khisti's group)
- continued at the Indian Institute of Science (our group)

Literature on Streaming Codes

Literature on Streaming Codes



Low-Complexity Streaming Codes



 $* \operatorname{gcd}(b, \tau + 1 - a) \in \{a, a + 1, a + 2, \cdots, b\}$

Streaming Codes for Other Settings



Construction Requirements

Diagonal Embedding

m ₁	m ₁	m ₁								
	m ₂	m ₂	m ₂							
		m ₃	m33	m ₃						
			m ₄	m ₄	m ₄					
				\mathbf{p}_1	\mathbf{p}_1	p ₁				
					p ₂	p ₂	p ₂			
						p ₃	p ₃	p ₃		
							p ₄	p ₄	p ₄	

 $\underline{x}(0) \ \underline{x}(1) \ \underline{x}(2) \ \underline{x}(3) \ \underline{x}(4) \ \underline{x}(5) \ \underline{x}(6) \ \underline{x}(7) \ \underline{x}(8) \ \underline{x}(9) \ \underline{x}(10) \ \underline{x}(11)$

• Focus on diagonal embedding of scalar block code.

Requirement of a Rate-Optimal Code

Basic idea:

• design the code so that one can recover from erasure patterns given only the next τ code symbols.

We illustrate with an example:

•
$$\{a, b, \tau\} = \{5, 8, 12\}$$

•
$$R_{\text{opt}} = \frac{\tau + 1 - a}{\tau + 1 - a + b} = \frac{8}{16} = 0.5$$

• Consider an [n = 16, k = 8] code C.

Burst Erasure Correction - Requirements



Random Erasure Correction - Requirements


Implications of Requirements on the Parity-Check Matrix

Decoding c_0 in the Presence of a Burst ($B_{partial}$)

- $\{a, b, \tau\} = \{5, 8, 12\}$
- Goal: recover c_0 while c_{13}, c_{14}, c_{15} are inaccessible



Decoding c_2 in the Presence of a Burst ($B_{partial}$)

- $\{a, b, \tau\} = \{5, 8, 12\}$
- Goal: recover c_2 while c_{15} is inaccessible



Decoding $(c_6, c_7, \cdots, c_{13})$ in the Presence of a Burst (B_{full})

•
$$\{a, b, \tau\} = \{5, 8, 12\}$$





Decoding c_0 in the Presence of Random Erasures ($R_{partial}$)

- $\{a, b, \tau\} = \{5, 8, 12\}$
- Goal: recover c_0 while c_{13}, c_{14}, c_{15} are inaccessible



Decoding $(c_5, c_8, c_9, c_{13}, c_{14})$ in the Presence of Random Erasures (R_{full})

•
$$\{a, b, \tau\} = \{5, 8, 12\}$$

5 random erasures

c₀ c₁ c₂ c₃ c₄ c₅ c₆ c₇ c₈ c₉ c₁₀ c₁₁ c₁₂ c₁₃ c₁₄ c₁₅



The Jigsaw Code

M. N. Krishnan, D. Shukla, and P. V. Kumar, "Low Field-size, Rate-Optimal Streaming Codes for Channels With Burst and Random Erasures," *IEEE Trans. IT*, 2020.

An Example Construction

- $\{a, b, \tau\} = \{5, 8, 12\}$
- We construct an [n = 16, k = 8] code C.

- parity-check matrix H shown below
- provides codes for all $\{a, b, \tau\}$
- built up in segments like a jigsaw puzzle
- field size $q \in O(\tau^2)$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Γ															٦
1	0	0	0	0	*	*	*	*	*	*	*	*			
			~												
0	1	0	0	0	*	*	*	*	*	*	*	*			
0	0	1	0	0	*	*	*	*	*	*	*	*			
0	0	0	1	0	*	*	*	*	*	*	*	*			
	2	2	-												
0	0	0	0	1	*	*	*	*	*	*	*	*			

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Γ															٦
1	0	0	0	0	*	*	*	*	*	*	*	*			
0	1	0	0	0	*	*	*	*	*	*	*	*			
0	0	1	0	0	*	*	*	*	*	*	*	*			
0	0	0	1	0	*	*	*	*	*	*	*	*			
	0	U	1	U	Ŧ	Ŧ	Ŧ	Ŧ	T	Ŧ	т	4			
0	0	0	0	1	*	*	*	*	*	*	*	*			

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Γ			0	0	0	0	0						0	0	0
			0	0	0	0	0	0						0	0
			0	0	0	0	0	0	0						0
1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	
0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Γ			0	0	0	0	0						0	0	0
			0	0	0	0	0	0						0	0
			0	0	0	0	0	0	0						0
1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
ſ			0	0	0	0	0	v_0	v_1	v_2	v_3	v_4	0	0	0
			0	0	0	0	0	0	v_5	v_6	v_7	v_8	v_9	0	0
			0	0	0	0	0	0	0	v_{10}	v_{11}	v_{12}	v_{13}	v_{14}	0
1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
α	0	0	0	0	0	0	0	v_0	v_1	v_2	v_3	v_4	0	0	0
0	α	0	0	0	0	0	0	0	v_5	v_6	v_7	v_8	v_9	0	0
0	0	α	0	0	0	0	0	0	0	v_{10}	v_{11}	v_{12}	v_{13}	v_{14}	0
1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

A Note on $\boldsymbol{\alpha}$



All entries of parity-check matrix except $\alpha\,$ belong to \mathbb{F}_q









	<i>c</i> ₀	<i>c</i> ₁	<i>c</i> ₂	<i>C</i> ₃	<i>C</i> ₄	<i>C</i> ₅	C_6	C 7	c_8	C 9	C ₁₀	<i>c</i> ₁₁	<i>c</i> ₁₂	<i>c</i> ₁₃	<i>c</i> ₁₄	<i>c</i> ₁₅
				•		Burs	st of le	ngth b	= 8			•				
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	α	0	0	0	0	0	0	0	v_0	v_1	v_2	v_3	v_4	0	0	0
1	0	α	0	0	0	0	0	0	0	v_5	v_6	v_7	v_8	v_9	0	0
2	0	0	α	0	0	0	0	0	0	0	v_{10}	<i>v</i> ₁₁	<i>v</i> ₁₂	<i>v</i> ₁₃	<i>v</i> ₁₄	0
3	1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
4	0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
5	0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
6	0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
7	0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

	<i>c</i> ₀	<i>c</i> ₁	<i>c</i> ₂	<i>c</i> ₃	<i>C</i> ₄	C ₅	<i>C</i> ₆	<i>C</i> ₇	(C ₈)	C ₉	C ₁₀	C ₁₁	<i>c</i> ₁₂	<i>c</i> ₁₃	<i>c</i> ₁₄	<i>c</i> ₁₅
					•		Bur	st of le	ngth b	= 8			•			
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	α	0	0	0	0	0	0	0	v_0	v_1	v_2	v_3	v_4	0	0	0
1	0	α	0	0	0	0	0	0	0	v_5	v_6	v_7	v_8	v_9	0	0
2	0	0	α	0	0	0	0	0	0	0	v_{10}	v_{11}	<i>v</i> ₁₂	v_{13}	v_{14}	0
3	1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
4	0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
5	0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
6	0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
7	0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0





Recovery from Burst Erasures



Recovery from Burst Erasures





Inaccessible due to delay constraint $\tau = 12$













	<i>c</i> ₀	<i>c</i> ₁	<i>c</i> ₂	<i>c</i> ₃	<i>C</i> ₄	<i>c</i> ₅	<i>c</i> ₆	<i>c</i> ₇	<i>c</i> ₈	C ₉	C ₁₀	<i>c</i> ₁₁	C12	<i>c</i> ₁₃	C14	<i>c</i> ₁₅
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	α	0	0	0	0	0	0	0	v_0	v_1	<i>v</i> ₂	v_3	v_4	0	0	0
1	0	α	0	0	0	0	0	0	0	v_5	v_6	v_7	v_8	v_9	0	0
2	0	0	α	0	0	0	0	0	0	0	v_{10}	v_{11}	<i>v</i> ₁₂	<i>v</i> ₁₃	v_{14}	0
3	1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
4	0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
5	0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
6	0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
7	0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

The Explicit Jigsaw Code

M. Vajha, V. Ramkumar, M. N. Krishnan, and P. V. Kumar, "Explicit Rate-Optimal Streaming Codes with Smaller Field Size," *ISIT* 2021.

An Example

- Returning to the same parameters $(a = 5, b = 8, \tau = 12)$.
- We start with parity check of Jigsaw construction



Explicit Jigsaw Code: Parity Check Matrix

- $(a = 5, b = 8, \tau = 12).$
- Replace some v_i 's with matrix P



Explicit Jigsaw Code: Parity Check Matrix

- (a = 5, b = 8, τ = 12)
- After setting the value for P


Explicit Jigsaw Code

- $(a = 5, b = 8, \tau = 12)$
- We will see B_{full} property where burst starts at index $i \in [3:8]$.
- The properties R_{partial}, R_{full}, B_{partial} go through due to similar structure as previous construction.













	<i>c</i> ₀	<i>c</i> ₁	<i>c</i> ₂	<i>c</i> ₃	<i>c</i> ₄	<i>c</i> ₅	<i>c</i> ₆	<i>c</i> ₇	c_8	C ₉	C ₁₀	C ₁₁	C12	C ₁₃	C ₁₄	C15
									•	Bu	irst of l	ength <i>l</i>	o = 8	-		
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	α	0	0	0	0	0	0	0	1	0	0	0	α	0	0	0
1	0	α	0	0	0	0	0	0	0	1	0	0	0	1	0	0
2	0	0	α	0	0	0	0	0	0	0	1	0	0	0	1	0
3	1	0	0	0	0	*	*	*	*	*	*	*	*	0	0	1
4	0	1	0	0	0	*	*	*	*	*	*	*	*	0	0	0
5	0	0	1	0	0	*	*	*	*	*	*	*	*	0	0	0
6	0	0	0	1	0	*	*	*	*	*	*	*	*	0	0	0
7	0	0	0	0	1	*	*	*	*	*	*	*	*	0	0	0

Desired Properties of P

- To satisfy B_{full} property, intuitively we want the first δ rows of the $b \times b$ sub-matrix of parity check matrix to be comprised of
 - a zero columns and
 - $\delta \times \delta$ invertible matrix.
- Then the Cauchy property of C can be leveraged.



The Assignment of P

•
$$P = P^a_{\delta,\tau-b}$$

• Recursive construction of $(u \times v)$ matrix $P_{u,v}^a$.

For example:

$$\mathsf{P}_{u,v}^{a} = \begin{cases} \begin{bmatrix} I_{u} & \underbrace{0}_{(u \times a)} & \mathsf{P}_{u,v-u-a}^{a} \\ \vdots & \vdots & \vdots \\ I_{u} & \underbrace{0}_{(u \times (v-u))} \end{bmatrix} & u \le v \le u + a & = \begin{bmatrix} I_{3} \\ P_{2,3}^{2} \end{bmatrix} \\ \begin{bmatrix} I_{u} & \underbrace{0}_{(u \times (v-u))} \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \\ \begin{bmatrix} I_{v} \\ \mathsf{P}_{u-v,v}^{a} \end{bmatrix} & v < u & P_{3,1}^{1} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \end{cases}$$

Staggered Diagonal Embedding

Recall: The Diagonal-Embedding Approach

m_1	m_1	m_1								
	m ₂	m ₂	m ₂							
		m ₃	m ₃	m ₃						
			m4	m ₄	m4					
				\mathbf{p}_1	\mathbf{p}_1	p_1				
					p ₂	p ₂	p ₂			
						p ₃	p ₃	p ₃		
							p ₄	p ₄	p_4	

 $\underline{x}(0) \ \underline{x}(1) \ \underline{x}(2) \ \underline{x}(3) \ \underline{x}(4) \ \underline{x}(5) \ \underline{x}(6) \ \underline{x}(7) \ \underline{x}(8) \ \underline{x}(9) \ \underline{x}(10) \ \underline{x}(11)$

• Each diagonal is a distinct codeword in [n = 8, k = 4] code C here.

Variation: Staggered Diagonal Embedding (SDE)

- Codewords are embedded diagonally with gaps in the packet stream.
- This is in effect a form of interleaving made possible by diagonal embedding
- Reduces a burst of 6 erasures to a burst of length 4 in the example shown below.



M. N. Krishnan, V. Ramkumar, M. Vajha, and P. V. Kumar, "Simple streaming codes for reliable, low-latency communication," *IEEE Comm. Letters*, 2020.

Why Staggered Diagonal Embedding?



* $gcd(b, \tau + 1 - a) \in \{a, a + 1, a + 2, \cdots, b\}$

Dispersion of Code Symbols under SDE

- Base code: [n, k] scalar block code
- Dispersion span N: number of consecutive packets across which codewords are spread.



 $\underline{x}(0) \ \underline{x}(1) \ \underline{x}(2) \ \underline{x}(3) \ \underline{x}(4) \ \underline{x}(5) \ \underline{x}(6) \ \underline{x}(7) \ \underline{x}(8) \ \underline{x}(9) \ \underline{x}(10) \ \underline{x}(11)$

SDE: Two Regimes



• $N > \tau + 1 \implies$ partial knowledge decoding is needed.



Partial knowledge recovery of c_0

Simple Streaming Codes

M. N. Krishnan, V. Ramkumar, M. Vajha, and P. V. Kumar, "Simple streaming codes for reliable, low-latency communication," *IEEE Comm. Letters*, 2020.

Simple Streaming Codes: SDE with $N \leq \tau + 1$

- Setting $N \leq \tau + 1$, ensures that no partial knowledge decoding is needed.
- Constituent base codes are MDS or binary cyclic codes.



• Rate optimal with smaller block length and linear field size for

$$\tau+1 = a \pmod{b}.$$

• Near optimal in terms of rate for other parameter sets.

MDS-Code-Based Simple Streaming Codes: An Example



• SDE of [8,4] MDS code

•
$$N = 10 = \tau + 1$$

 \implies delay-constraint satisfied

• Rate
$$= \frac{1}{2} = R_{opt}$$



MDS-Code-Based Simple Streaming Codes

•
$$\tau + 1 = mb + \rho, \ 0 \le \rho < b$$

•
$$\rho^* = \min\{\rho, a\}.$$

• Pick $[n = ma + \rho^*, k = (m - 1)a + \rho^*]$ MDS code



• burst of b packet erasures \implies erasure of (n - k) = a consecutive code symbols

Binary-Code-Based Simple Streaming Codes for $\rho > a$

• [7, 4] binary Hamming code

$$H = \left[\begin{array}{rrrrr} 1 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{array} \right]$$

- The above code can recover from any burst of 3 erasures.
- [n, k] cyclic codes have (n k) burst erasure recovery capability.
- This property is utilized to come up with binary-code-based simple streaming codes.

Binary-Code-Based Simple Streaming Codes for $\rho > a$

• [7, 4] binary Hamming code

$$H = \left[\begin{array}{rrrrr} 1 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{array} \right]$$

- The above code can recover from any burst of 3 erasures.
- [n, k] cyclic codes have (n k) burst erasure recovery capability.
- This property is utilized to come up with binary-code-based simple streaming codes.

Near Rate-Optimality of Simple Streaming Codes

- $\tau + 1 = mb + \rho$
- Rate-optimal when $\rho = a$



Near Rate-Optimality of Simple Streaming Codes

- $\tau + 1 = mb + \rho$
- Rate-optimal when $\rho = a$



Rate Comparison for Some Parameters: MDS Base Code

а	b	τ	N	n-k	n	k	R _{MDS}	R _{opt}
2	3	3	4	2	3	1	0.333	0.4
2	3	4	5	2	4	2	0.5	0.5
2	3	5	6	2	4	2	0.5	0.571
3	5	5	6	3	4	1	0.25	0.375
3	5	11	12	3	8	5	0.625	0.6429
3	5	12	13	3	9	6	0.666	0.666
3	5	13	13	3	9	6	0.666	0.6875

• For some parameters it is possible to get better rate than this while retaining the simplicity of employing MDS codes.

Rate Comparison for Some Parameters: MDS Base Code

а	b	τ	N	n-k	n	k	<i>R</i> _{MDS}	R _{opt}
2	3	3	4	2	3	1	0.333	0.4
2	3	4	5	2	4	2	0.5	0.5
2	3	5	6	2	4	2	0.5	0.571
3	5	5	6	3	4	1	0.25	0.375
3	5	11	12	3	8	5	0.625	0.6429
3	5	12	13	3	9	6	0.666	0.666
3	5	13	13	3	9	6	0.666	0.6875

• For some parameters it is possible to get better rate than this while retaining the simplicity of employing MDS codes.

Generalized Diagonal Embedding

- Allows embedding of more than one symbol of an MDS codeword within a single coded packet.
- Rate improvement possible for some cases, by exploiting increase in block length.



- [10, 3] MDS code as base code
- $N = 6 = \tau + 1$
- No more than 7 code symbols erased from any MDS codeword
- Rate = 0.3 > 0.25 = R_{MDS}

Rate Improvement

• Rate increase happens if $b > a > (m+1)\rho > 0$.



V. Ramkumar, M. Vajha, and P. V. Kumar, "Generalized Simple Streaming Codes from MDS Codes," *ISIT* 2021.

Rate Comparison for Some Parameters: Binary Base Code

а	b	au	N	r	n	k	R _{binary}	R _{opt}
3	6	9	10	4	8	4	0.5	0.538
3	7	10	11	4	8	4	0.5	0.533
3	6	16	17	5	15	10	0.666	0.7
3	7	19	19	5	15	10	0.666	0.708
3	8	21	21	5	15	10	0.666	0.703
3	9	22	23	5	15	10	0.666	0.689

Long SDE Code

V. Ramkumar, M. Vajha, M. N. Krishnan, and P. V. Kumar, "Staggered Diagonal Embedding Based Linear Field Size Streaming Codes," *ISIT* 2020.

Long SDE Code: SDE with $N > \tau + 1$

• $N > \tau + 1 \implies$ partial-knowledge decoding is required



SDE of [10, 6] scalar code with N = 14 to construct ($a = 2, b = 6, \tau = 10$) streaming code

• This rate-optimal construction works provided:

 $gcd(b, \tau + 1 - a) \in \{a, a + 1, a + 2, \cdots, b - 1\}.$



Parity check matrix of [10, 6] scalar code

 O(τ) field-size scalar code, but not MDS code

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Block Erasure Probability of Streaming Code Over GE Channel

M. Vajha, V. Ramkumar, M. Jhamtani, P. V. Kumar, "On Sliding Window Approximation of Gilbert-Elliott Channel for Delay Constrained Setting," *arXiv* 2020.

Guaranteeing Reliability

Given

- a GE channel model,
- a delay constraint τ , and
- a desired block erasure probability (BEP) P_e
- select best rate (by choosing a, b), $\{a, b, \tau\}$ streaming code such that $\mathsf{BEP} \leq P_e$

Computing BEP Using Probability of Admissible Erasure Patterns

• Rate-optimal streaming codes with following parameters exist for any (a, b, τ) :

$$(n = \tau + 1 + b - a, k = n - b)$$

• Horizontal embedding results in a block streaming code



• BEP over $GE(\alpha, \beta, \epsilon_0, \epsilon_1)$

$$BEP(n, a, b, \tau) = 1 - P(AEP)$$

AEP: set of admissible erasure patterns of an (a, b, τ) DCSW channel over a length n.

Admissible Erasure Patterns



$$\mathsf{AEP} = \cap_{i=1}^{n-\tau} (A_i \cup B_i)$$

- A_i is the set of erasure patterns that have weight $\leq a$ in window $[i : i + \tau]$
- B_i is the set of erasure patterns that have span $\leq b$ in window $[i: i + \tau]$

Goal: To get a handle on the P(AEP)
What is Known for GE Channels ?

Computing P(AEP)

- Closed form expression for $P(A_i)$ and $P(B_i)$ known.
- We provide an expression for $P(A_i \cup B_i)$
- Characterising $P(AEP) = P(\bigcap_{i=1}^{n-\tau} (A_i \cup B_i))$ is hard.
- We come up with bounds for *P*(AEP).

Computing Probability of an Erasure Pattern



Can show that

$$P(E_1^n = e_1^n) = 1^T \Psi(e_n) \cdots \Psi(e_1) \underline{\pi}$$

- $\underline{\pi} = \begin{bmatrix} \underline{\beta} & \underline{\alpha} \\ \overline{\alpha+\beta} & \overline{\alpha+\beta} \end{bmatrix}$ is the stationary probability vector
- Ψ is defined as below:

$$\Psi(e) = \begin{cases} \Lambda S & e = 1\\ (I - \Lambda)S & e = 0 \end{cases}$$

• $S = \underbrace{\left[\begin{array}{cc} 1 - \alpha & \beta \\ \alpha & 1 - \beta \end{array}\right]}_{\alpha}$ and $\Lambda = \begin{bmatrix} \epsilon_0 \\ \epsilon_1 \end{bmatrix}$.

transitional probability matrix

Notice that Ψ(0) + Ψ(1) = S

Computing Random Erasure Probabilities

• Let A be the set of erasures whose weight is atmost a in window of length n.

$$P(A) = \sum_{i=0}^{a} \underbrace{P(w(E_{1}^{n}) = i)}_{\text{closed form expression known}}$$

• BEP of an [n, k = n - a] MDS code when used over GE channel is given by 1 - P(A).

C. Pimentel and I. F. Blake, "Enumeration of markov chains and burst error statistics for finite state channel models," IEEE Transactions on Vehicular Technology, 1999.

Computing Burst Erasure Probabilities

- Let B be the set of erasures whose span is at most b in window of length n.
- Let q_i be the probability of erasures where the first erasure appears at index i and the span ≤ b.



• Any cyclic code with parameters [n, k = n - b] has BEP upper bounded by 1 - P(B).

G. Haßlinger and O. Hohlfeld, "Analysis of random and burst error codes in 2-state markov channels," in 34th International Conference on Telecommunications and Signal Processing (TSP 2011).

What is New ?

Computing $P(A \cup B)$

 A ∪ B is the set of erasure patterns either have weight atmost a or span atmost b in window of length n.

$$P(A \cup B) = P(A) + P(B) - P(A \cap B) \triangleq P_{ws}(n, a, b)$$

 Let q_i be the probability of erasures where the first erasure appears at index i and the span ≤ b and weight ≤ a.



$$P(A \cap B) = P(E_1^n = \underline{0}) + \sum_{i=1}^n q_i$$

$$q_i = \mathbf{1}^T \Psi(0)^{n-i-b'+1} Q(b'-1, a-1) \Psi(1) \Psi(0)^{i-1} \underline{\pi}$$

where $b' = \min\{b, n - i + 1\}$

Bounding P(AEP)

 $P(\mathsf{AEP}) = P(\cap_{i=1}^{n-\tau}(A_i \cup B_i))$

- A ∪ B is the set of erasure patterns that either have weight atmost a or span atmost b in a window [1 : n].
- $A_i \cup B_i$ is the set of erasure patterns that either have weight at most *a* or span at most *b* in a window $[i : \tau + i]$.

$$(A \cup B) \subseteq AEP \subseteq (A_1 \cup B_1)$$

 $P_{ws}(n, a, b) \leq P(AEP) \leq P_{ws}(\tau + 1, a, b)$

Bounds on BEP of streaming code

• Improved the bounds by coming up with tractable sets L, U such that:

$$(A \cup B) \subseteq L \subseteq AEP \subseteq U \subseteq (A_1 \cup B_1)$$

 $1 - P(U) \leq BEP \leq 1 - P(L)$

 $(a = 3, b = 6, \tau = 10)$ streaming code



Choosing a, b Using BEP Upper Bound

- (a, b) is picked to give best rate while meeting BEP≤ P_e requirement for (n = τ + 1 + b − a, k = n − b) streaming code.
- For [τ + 1, τ + 1 − a] MDS codes minimal value of a is picked to satisfy BEP requirement.



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An Experimental Attempt at Channel Adaptation

Parameters of Interest for Any Streaming Application

- End to End Delay (Δ)
 - V2X requires $\Delta \leq 100$ ms and,
 - Telesurgery Camera Flow requires $\Delta \leq 150$ ms
- Reliability (P_e)
 - Packet Erasure Probability (PEP) $\leq P_e$

OR

• Block Erasure Probability (BEP) $\leq P_e$.

ETSI TS 122 185 V14.3.0. LTE;Service requirements for V2X services. 2017.

5G Americas. 5G Services Innovation. 2019.

Breakup of E2E Delay



T: Inter packet delay, P: Propagation Delay

Pick τ such that:

$$P + T * (\tau + 1) \leq \Delta$$

• For the example above $\tau = 4$ should suffice.

System Architecture for Rate Adaptation



- Source uses VP8 encoder to compress video frames.
- Compressed frame is divided into equal sized packets and sent over UDP link
- We introduce erasures in the UDP link using GE channel model
- {*a*, *b*} parameters obtained by adaptation algorithm that has access to packet erasure patterns.
- FEC encoder uses simple streaming code family implemented using Jerasure library.

Outage Based Rate Adaptation

- *M* past packets are used to estimate (*a*, *b*) parameters.
- Estimation of (a, b) parameters takes place once every L packets.



- empirical probabilities of (span, weight) pairs are maintained.
- allows for a small nonzero probability (outage) of uncorrectable erasure patterns

Video Demo Setting

- The Channels:
 - C0: perfect channel (no erasures)
 - C1: GE ($\alpha = 0.01, \beta = 0.5, \epsilon_0 = 0.001, \epsilon_1 = 1$)



- τ is set to 9
- Outage adaptation parameters: $M = 10^5$, $L = 10^3$, $P_{out} = 10^{-3}$.
- Video1: We set (a = 0, b = 0) at the start of experiment and move from C0 to C1 (we see adaptation taking place)
- Video2: After the adaptation has converged

Description of Four Windows Appearing in The Demo

Demo Video Window Format



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