

Theoretical Interpretation of Flavour Anomalies

Admir Greljo

Abstract: I will present our recent work on lepton flavor non-universal and anomaly-free $U(1)$ gauge extensions of the SM. The phenomenological discussion will be centered around flavor anomalies in rare B-meson decays and muon $g-2$. This talk is based on [2103.13991](#) (AG, Stangl, and Thomsen) and [2107.07518](#) (AG, Soreq, Stangl, Thomsen, and Zupan).

Part I: Introduction

\mathcal{L}_4 : Accidental symmetries

$$\mathcal{L}_4^{SM} \text{ sans Yukawa: } U(3)_q \times U(3)_U \times U(3)_D \times U(3)_l \times U(3)_E$$

$$-\mathcal{L}_{\text{Yuk}} = \bar{q} V^\dagger \hat{Y}^u \tilde{H} U + \bar{q} \hat{Y}^d H D + \bar{l} \hat{Y}^e H E$$

[$U(3)^5$ transformation and a singular value decomposition theorem]



$$\mathcal{L}_4^{SM} : U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau$$

Prediction: No proton decay nor cLFV

Experiment: $\tau_p \gtrsim 10^{34}$ years, $BR(\mu \rightarrow e\gamma) \lesssim 10^{-13}$, ...

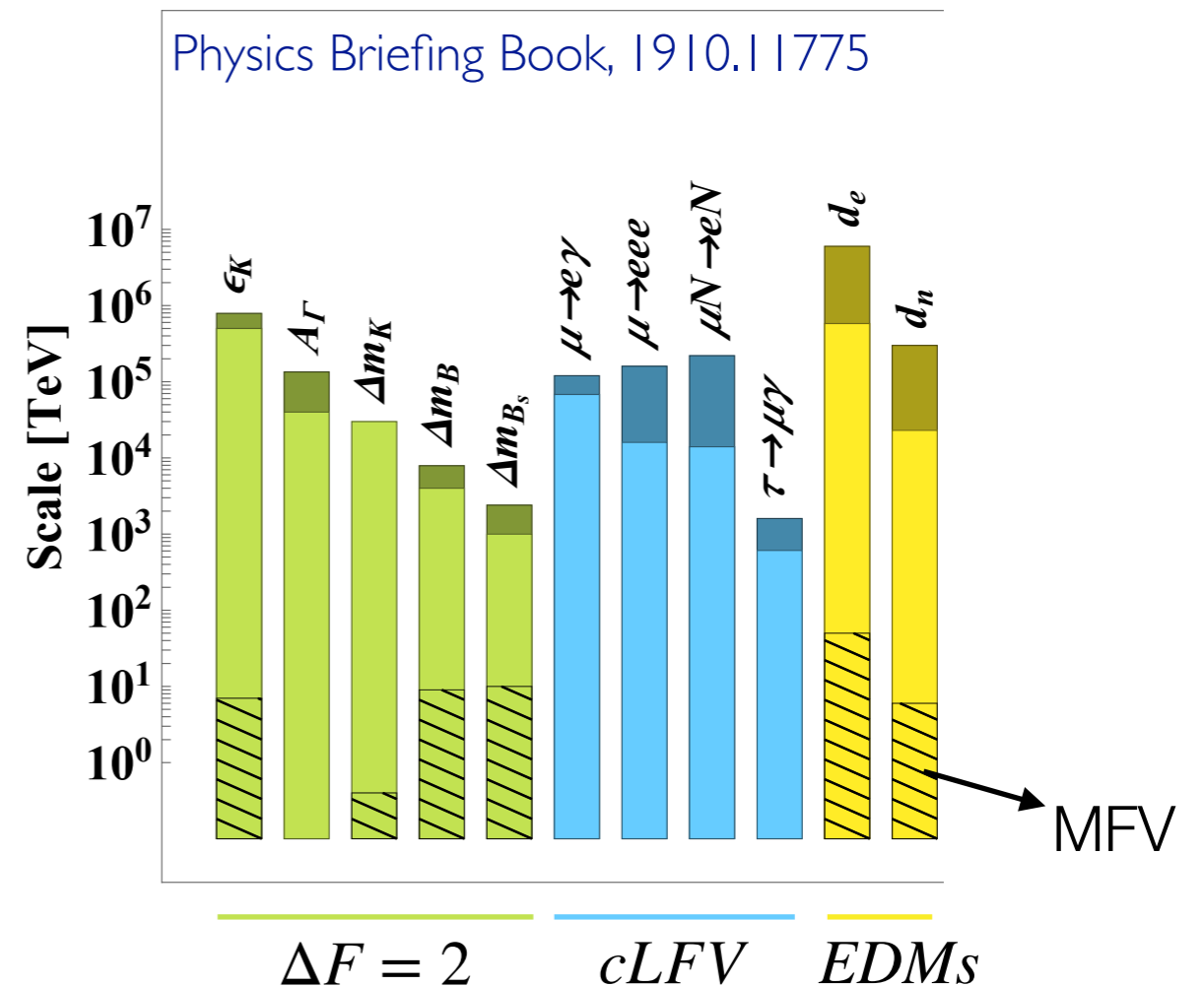
- Λ_{NP}^{-1} truncation at the [$\mathcal{L}^{\text{SMEFT}}$] $\leq 4 \implies$ **Exact** accidental symmetries
- Peculiar observed values of $Y^{u,d,e} \implies$ **Approximate** accidental symmetries
 [Mass hierarchy & CKM alignment] [Quark flavour, CP, etc]

\mathcal{L}_6 : Constraints

$$\mathcal{L}_6^{SMEFT} \supset \frac{1}{\Lambda^2} qqq\ell$$

$$\Lambda > 10^{12} \text{ TeV}$$

Proton decay

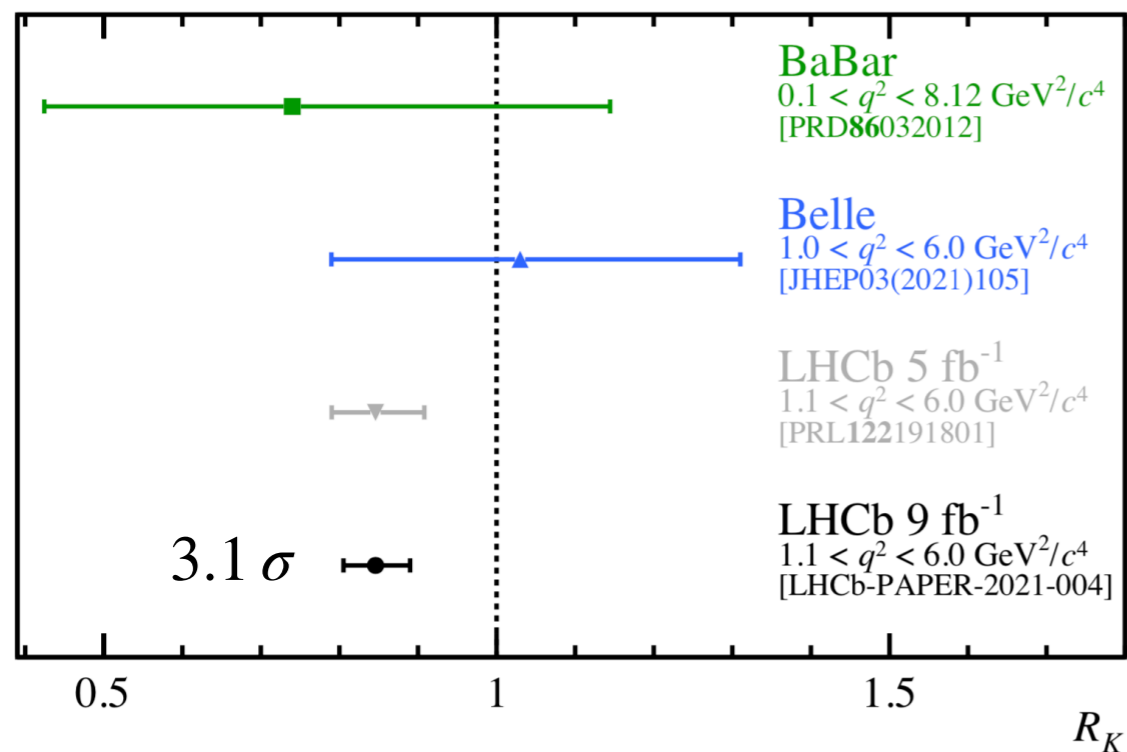


- Accidental symmetries (exact and approximate) are broken by the irrelevant couplings.
- Testing accidental symmetries is an opportunity
 \implies Efficient probe of high-energy dynamics.
- A viable BSM at the TeV-scale should have accidental symmetries similar to the SM.

Part II: Flavour anomalies

This talk: $b \rightarrow s\ell\ell$ and $(g - 2)_\mu$

Footprints of a next layer?

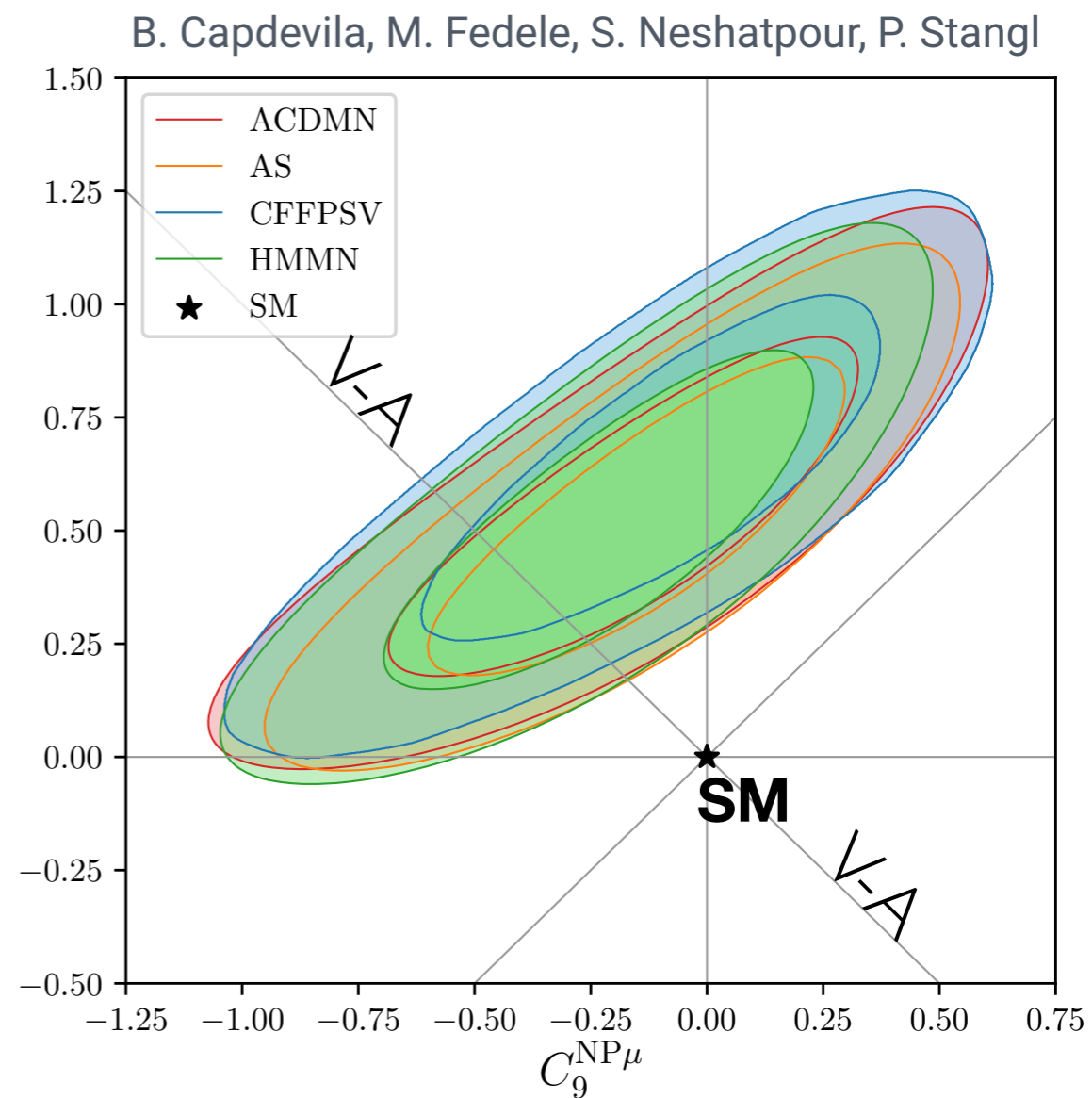


LHCb, CERN, 2103.11769

+ other $b \rightarrow s \mu \mu$ observables

= 4.3σ conservative global significance

[Isidori, Lancierini, Owen, Serra, 2104.05631]



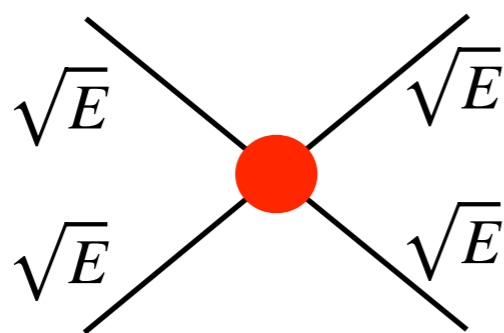
fit to LFU observables + $B_s \rightarrow \mu \mu$

New mass scale?

- **IF** $b \rightarrow s\ell^+\ell^-$ anomalies are genuine new physics effect
 \implies **Major Revolution in HEP**

$$\mathcal{L} \supset \frac{1}{(40 \text{ TeV})^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\mu}_L \gamma_\mu \mu_L)$$

4-fermion
scattering at
 $E \gg v_{EW}$



$$\mathcal{A} \sim \frac{E^2}{(40 \text{ TeV})^2}$$

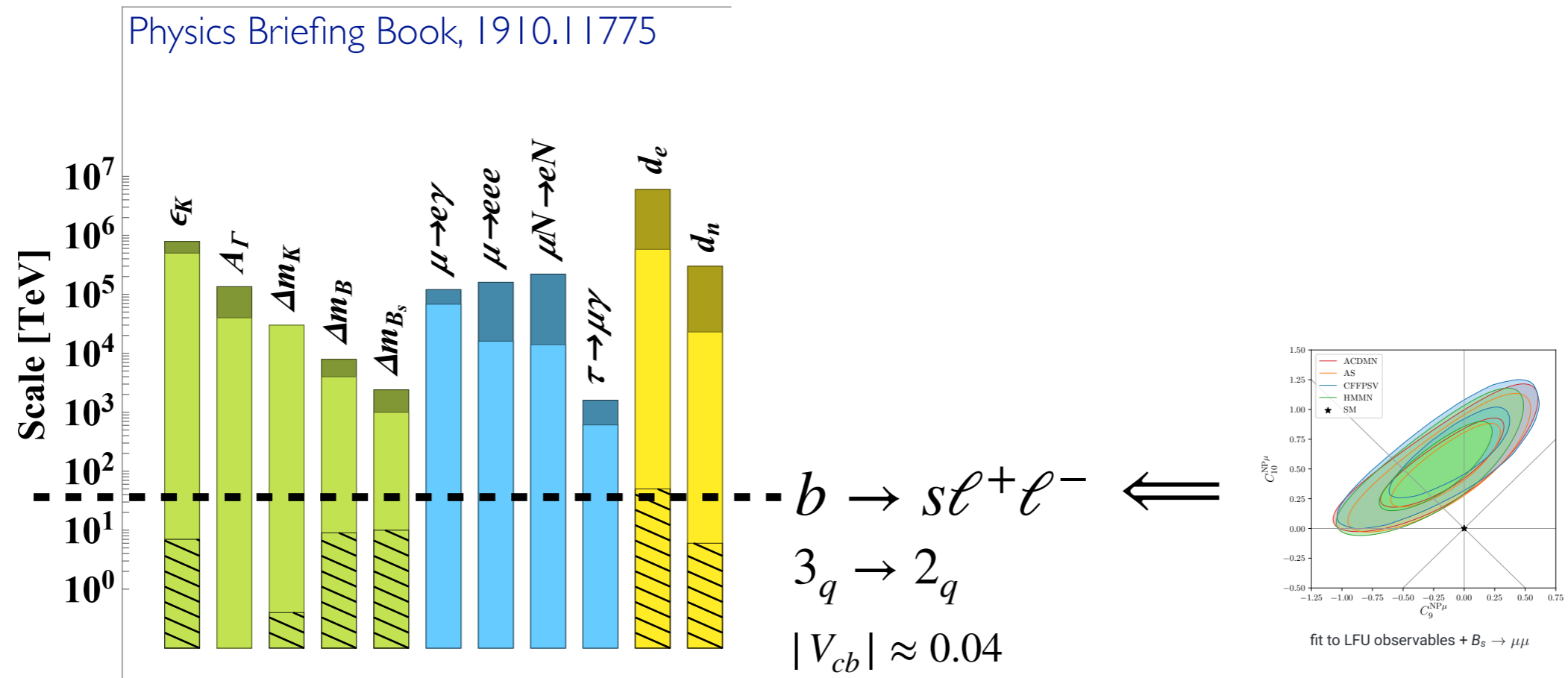
\implies Violation of perturbative
unitary $\lesssim 100 \text{ TeV}$

Di Luzio, Nardecchia;
1706.01868

- **Observational evidence!**
(Argument stronger than EW naturalness)

- The scale indicated from the perturbative unitary tends to be overly pessimistic
Weak interactions : $G_F \implies \Lambda \lesssim 1 \text{ TeV}$, $m_W \approx 80 \text{ GeV}$

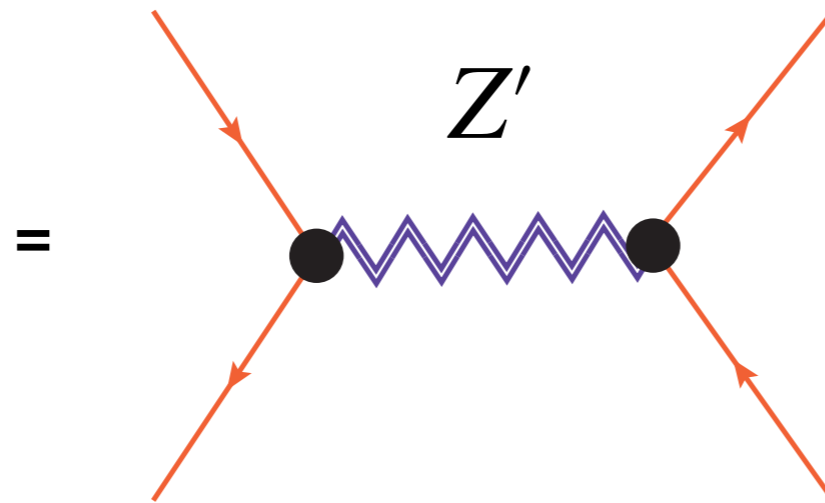
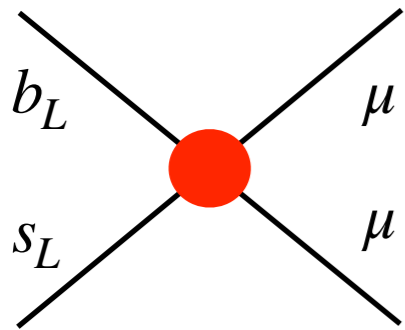
New mass scale?



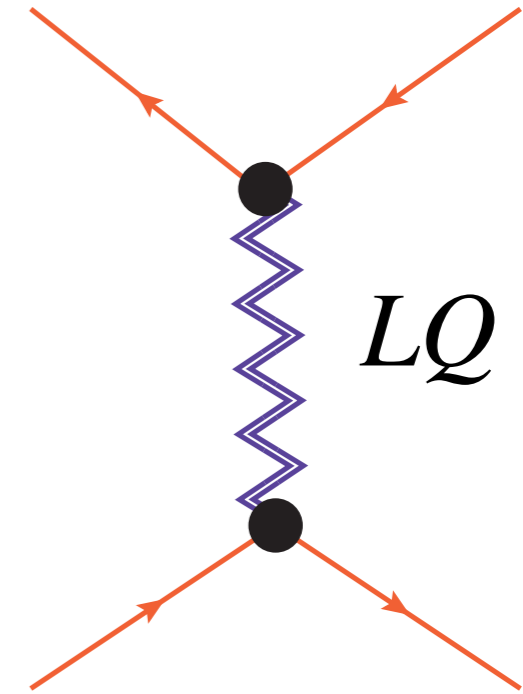
- A consistent theory should have a well-defined flavour symmetry and a symmetry breaking pattern (e.g. MFV, U(2), partial compositeness, etc).
- Thus, $3_q \rightarrow 2_q$ transition should carry a corresponding flavour spurion suppression.

$$\mathcal{L}_6 \supset \frac{V_{cb}}{(8 \text{ TeV})^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\mu}_L \gamma_\mu \mu_L)$$

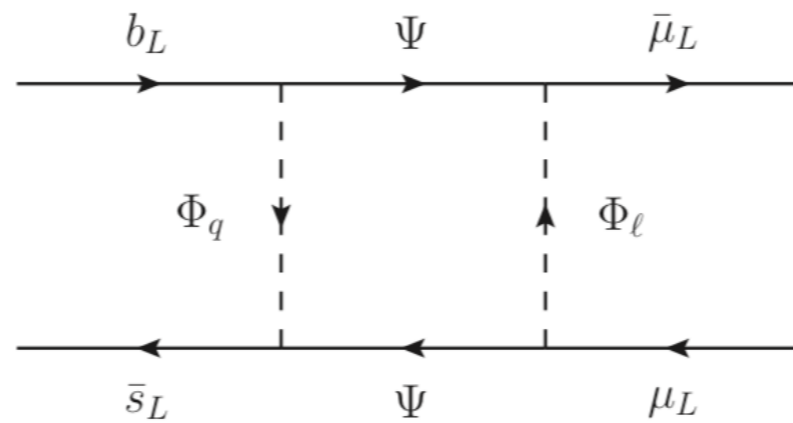
Mediators



or



or



+ other loop models

$$\mathcal{L} \supset \frac{1}{16\pi^2} \frac{|V_{cb}|}{(0.6 \text{ TeV})^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\mu}_L \gamma_\mu \mu_L)$$

See for example:

Arcadi, Calibbi, Fedele, Mescia, 2104.03228

Scalar leptoquark example

- Tree-level $J_q \times J_\ell$, while $J_q \times J_q$ and $J_\ell \times J_\ell$ loop-suppressed

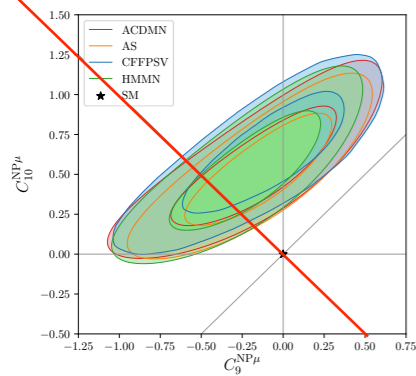
$$\mathcal{L} \supset \eta_{ij} Q_L^i L_L^j S_3$$

$$S_3 = (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$$

- **V-A** structure

Hiller, Schmaltz, I 408.1627,
Dorsner, Fajfer, AG, Kamenik, Kosnik; I 603.04993,
Buttazzo, AG, Isidori, Marzocca; I 706.07808,
Gherardi, Marzocca, Venturini; 2008.09548
+ many more

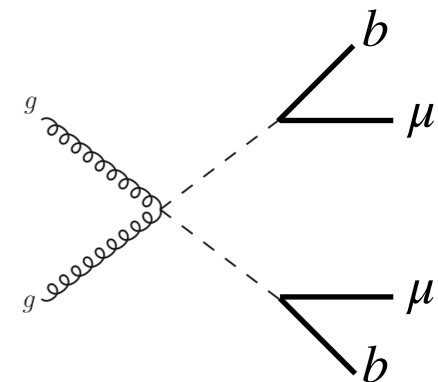
V-A



fit to LFU observables + $B_s \rightarrow \mu\mu$

$$\Rightarrow \frac{\eta_{b\mu}\eta_{s\mu}}{M_{LQ}^2} \sim \frac{V_{cb}}{(8 \text{ TeV})^2}$$

Direct LHC searches
LQ pair production:
 $m_{LQ} \gtrsim 1.5 \text{ TeV}$



Leptoquarks

$$\mathcal{L}_4 \supseteq y_{ij} Q^i L^j S + z_{ij} Q^i Q^j S^\dagger$$

$B(S) = -\frac{1}{3}$
 $B(S) = \frac{2}{3}$

- Abrupt violation of the SM accidental symmetries

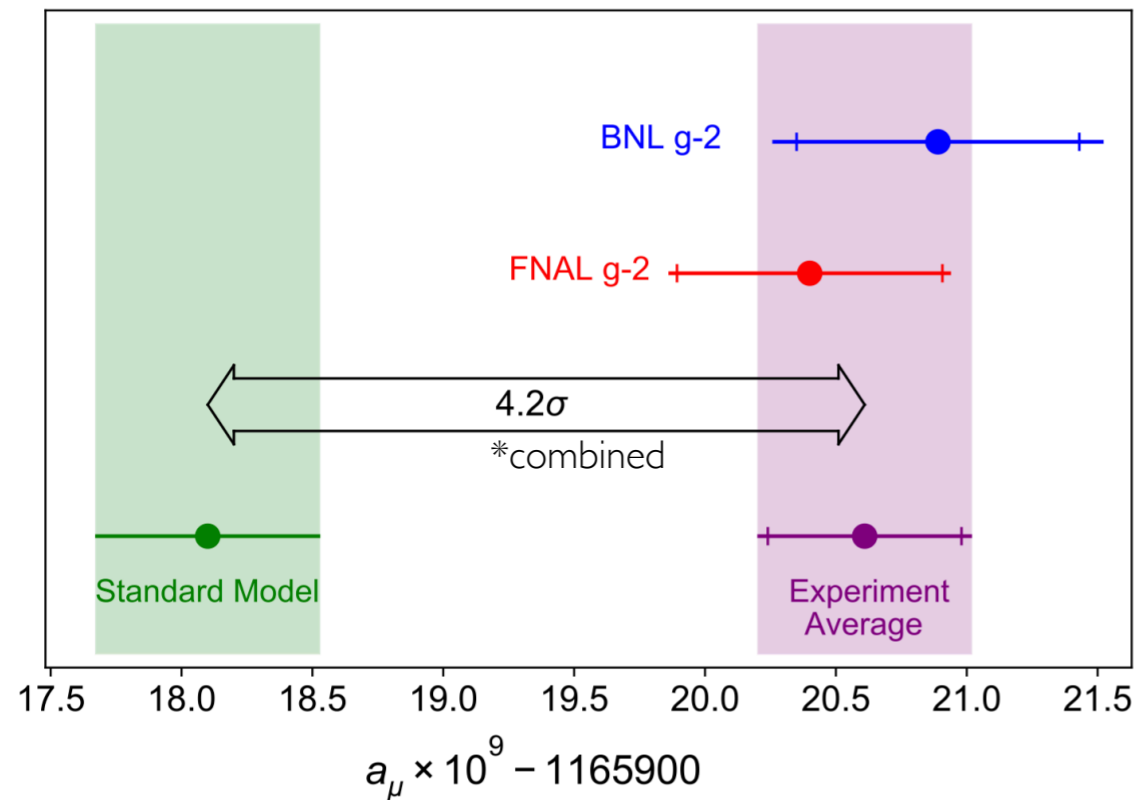
~~$U(1)_B$~~ Proton decay $[z \cdot y]$ probes scales up to 10^{13} TeV

~~$U(1)_e \times U(1)_\mu \times U(1)_\tau$~~ $\mu \rightarrow e \gamma$ $[i \neq j]$ probes scales up to 10^5 TeV

~~CP~~ Electron EDM $[\text{Im } y]$ probes scales up to 10^6 TeV

~~$U(3)_L \times U(3)_E$~~ LFUV, ... $R(K)$ probes up to 10^2 TeV

Muon ($g - 2$)



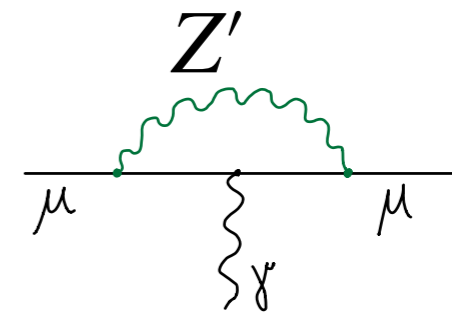
The Muon g-2, Fermilab, [2104.03281](#)

A word of caution:
More EXP/TH work is needed to prove NP is behind these effects.

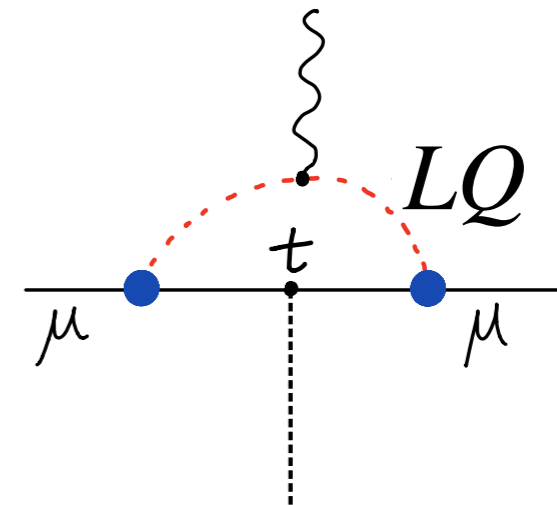
*BMW lattice only 1.6σ [[2002.12347](#)]

- New Physics examples

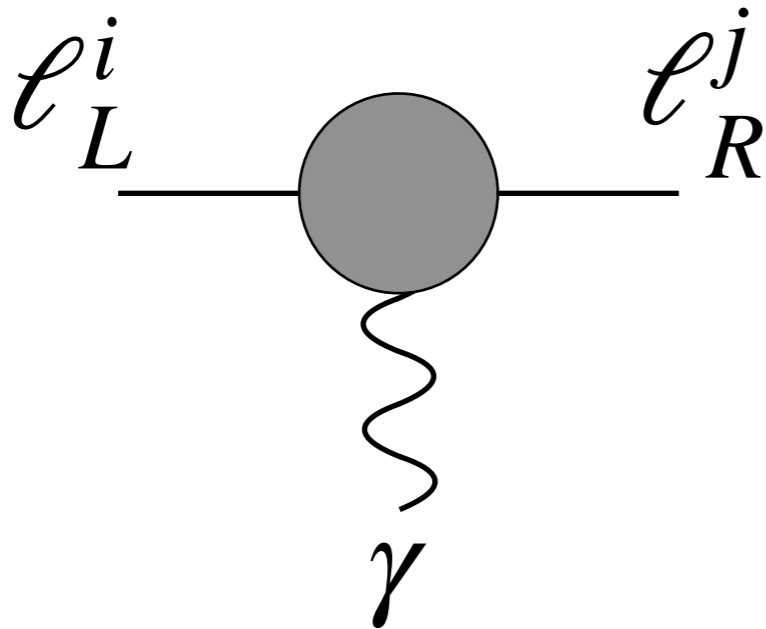
$$\mathcal{L}_6 \supset \frac{y_\mu}{(0.2 \text{ TeV})^2} \frac{e v_{EW}}{16\pi^2} \bar{\mu}_L \sigma^{\mu\nu} \mu_R F_{\mu\nu}$$



$$\mathcal{L}_6 \supset \frac{y_t}{(10 \text{ TeV})^2} \frac{e v_{EW}}{16\pi^2} \bar{\mu}_L \sigma^{\mu\nu} \mu_R F_{\mu\nu}$$



cLFUV but no cLFV



$$\frac{Br(\mu \rightarrow e\gamma)}{3 \times 10^{-13}} \approx \left(\frac{\Delta a_\mu}{3 \times 10^{-9}} \right)^2 \left(\frac{\theta_{12}}{10^{-5}} \right)^2$$

$$\frac{Br(\tau \rightarrow \mu\gamma)}{4 \times 10^{-8}} \approx \left(\frac{\Delta a_\mu}{3 \times 10^{-9}} \right)^2 \left(\frac{\theta_{23}}{10^{-2}} \right)^2$$

Naive BSM expectation is wrong!

$$\theta_{12} \sim \sqrt{m_e/m_\mu} \sim \mathcal{O}(10^{-1})$$

$$\theta_{23} \sim \sqrt{m_\mu/m_\tau} \sim \mathcal{O}(10^{-1})$$

Nearly exact $U(1)_e \times U(1)_\mu \times U(1)_\tau$?





Part III: Muonic forces

AG, Stangl, Thomsen; 2103.13991

AG, Soreq, Stangl, Thomsen, Zupan; 2107.07518

Gauged lepton flavor

- Extend the SM gauge group with the **lepton flavour non-universal** $U(1)_X$.



Gauged $U(1)_X$  e μ τ
  

- Natural framework for cLFUV without cLFV.
- $U(1)_X$ gauge boson is a potential mediator behind flavour anomalies.

Altmannshofer, Gori, Pospelov, Yavin; 1403.1269,
 Crivellin, D'Ambrosio, Heeck; 1501.00993,
 Celis, Fuentes-Martin, Jung, Serodio; 1505.03079,
 Crivellin, Fuentes-Martin, AG, Isidori; 1611.02703,
 Alonso, Cox, Han, Yanagida; 1705.03858,
 Bonilla, Modak, Srivastava, Valle; 1705.00915,
 Ellis, Fairbairn, Tunney; 1705.03447;
 Allanach, Davighi; 1809.01158,
 Altmannshofer, Davighi, Nardecchia; 1909.02021,
 Allanach; 2009.02197,
 + many more ...

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Another potential mediator

- Charge a **leptoquark** under $U(1)_X$.

- Gauge symmetry selection rules:

✓ $q\mu S$





✗ $qeS, q\tau S, qqS^\dagger$
 $qqS^\dagger H, qqS^\dagger \phi$

Hambye, Heeck; 1712.04871
 Davighi, Kirk, Nardecchia, 2007.15016

AG, Stangl, Thomsen, 2103.13991
 AG, Soreq, Stangl, Thomsen, Zupan; 2107.07518

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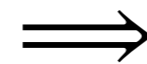
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The accidental symmetry of \mathcal{L}_4 is $U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau$ and the LQ charge is $(-1/3, 0, -1, 0)$

“Muquark”

The $U(1)_X$ atlas

- $SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)_X$ gauge group

$$\begin{aligned}
 Q_i &\sim (\mathbf{3}, \mathbf{2}, \frac{1}{6}, X_{Q_i}), & U_i &\sim (\mathbf{3}, \mathbf{1}, \frac{2}{3}, X_{U_i}), & D_i &\sim (\mathbf{3}, \mathbf{1}, -\frac{1}{3}, X_{D_i}), \\
 L_i &\sim (\mathbf{1}, \mathbf{2}, -\frac{1}{2}, X_{L_i}), & E_i &\sim (\mathbf{1}, \mathbf{1}, -1, X_{E_i}), & N_i &\sim (\mathbf{1}, \mathbf{1}, 0, X_{N_i})
 \end{aligned}$$

AG, Soreq, Stangl, Thomsen, Zupan; 2107.07518

- Six anomaly cancellation conditions:

$$SU(3)_C^2 \times U(1)_X : \sum_{i=1}^3 (2X_{Q_i} - X_{U_i} - X_{D_i}) = 0 ,$$

$$SU(2)_L^2 \times U(1)_X : \sum_{i=1}^3 (3X_{Q_i} + X_{L_i}) = 0 ,$$

$$U(1)_Y^2 \times U(1)_X : \sum_{i=1}^3 (X_{Q_i} + 3X_{L_i} - 8X_{U_i} - 2X_{D_i} - 6X_{E_i}) = 0 ,$$

$$\text{Gravity}^2 \times U(1)_X : \sum_{i=1}^3 (6X_{Q_i} + 2X_{L_i} - 3X_{U_i} - 3X_{D_i} - X_{E_i} - X_{N_i}) = 0 ,$$

$$U(1)_Y \times U(1)_X^2 : \sum_{i=1}^3 (X_{Q_i}^2 - X_{L_i}^2 - 2X_{U_i}^2 + X_{D_i}^2 + X_{E_i}^2) = 0 ,$$

$$U(1)_X^3 : \sum_{i=1}^3 (6X_{Q_i}^3 + 2X_{L_i}^3 - 3X_{U_i}^3 - 3X_{D_i}^3 - X_{E_i}^3 - X_{N_i}^3) = 0 .$$

- Quark flavour universal

$$X_{Q_i} = X_{U_j} = X_{D_k} \quad (X_H = 0)$$

$$-10 \leq X_{F_i} \leq 10 \quad [276 \text{ inequivalent solutions}]$$

(i.e. up to flavor permutation, etc)

Muon quark requirement

$$\text{eg. } S_3 \text{ LQ: } X_{L_2} \neq \{X_{L_{1,3}}, -3X_q\}$$

[273 inequivalent solutions]

$$\text{vector category : } X_{L_i} = X_{E_i}$$

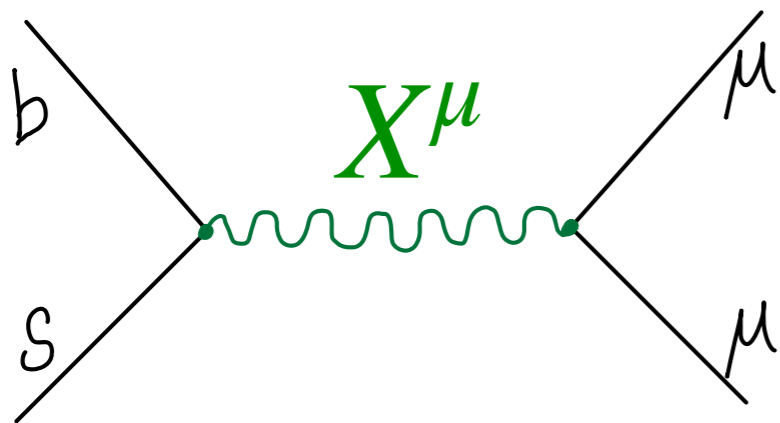
chiral category : the rest.

- Third-family quark

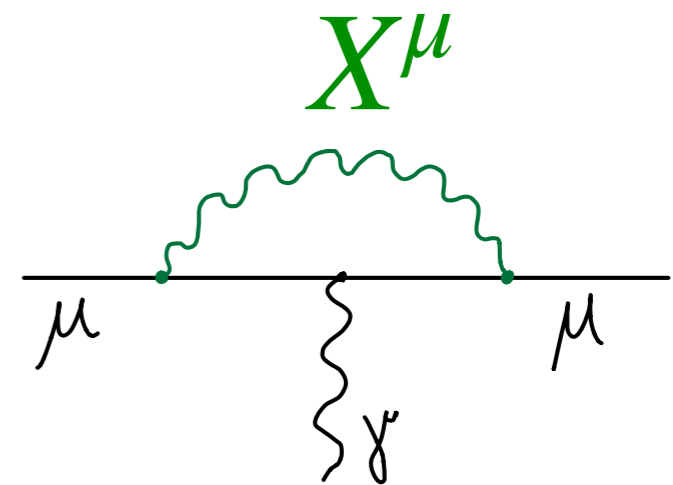
[The “2+1” charge assignment]

Single mediator?

$$b \rightarrow s\mu\mu$$



$$(g - 2)_\mu$$



- Answer: **NO** AG, Soreq, Stangl, Thomsen, Zupan; 2107.07518

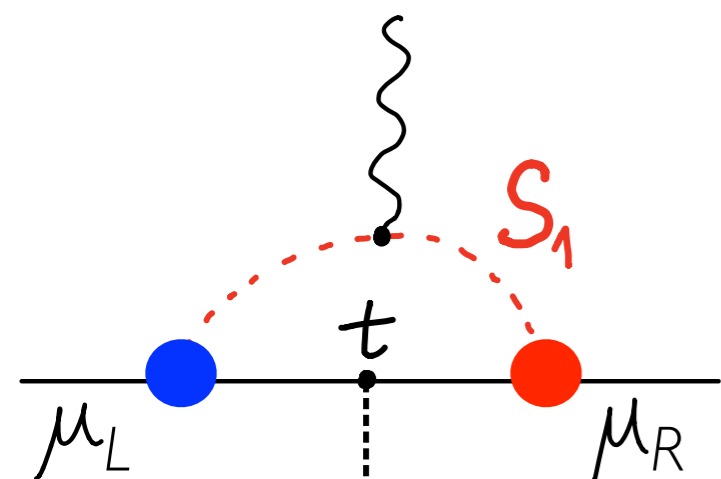
Model Example I

AG, Stangl, Thomsen, 2103.13991

Fields	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	$U(1)_{B-3L_\mu}$
q_L	3	2	$1/6$	$1/3$
u_R	3		$2/3$	$1/3$
d_R	3		$-1/3$	$1/3$
ℓ_L		2	$-1/2$	$\{0, -3, 0\}$
e_R			-1	$\{0, -3, 0\}$
ν_R			0	$\{0, -3, 0\}$
H		2	$1/2$	0
S_3	$\bar{\mathbf{3}}$	3	$1/3$	$8/3$
S_1	$\bar{\mathbf{3}}$		$1/3$	$8/3$
Φ			0	3

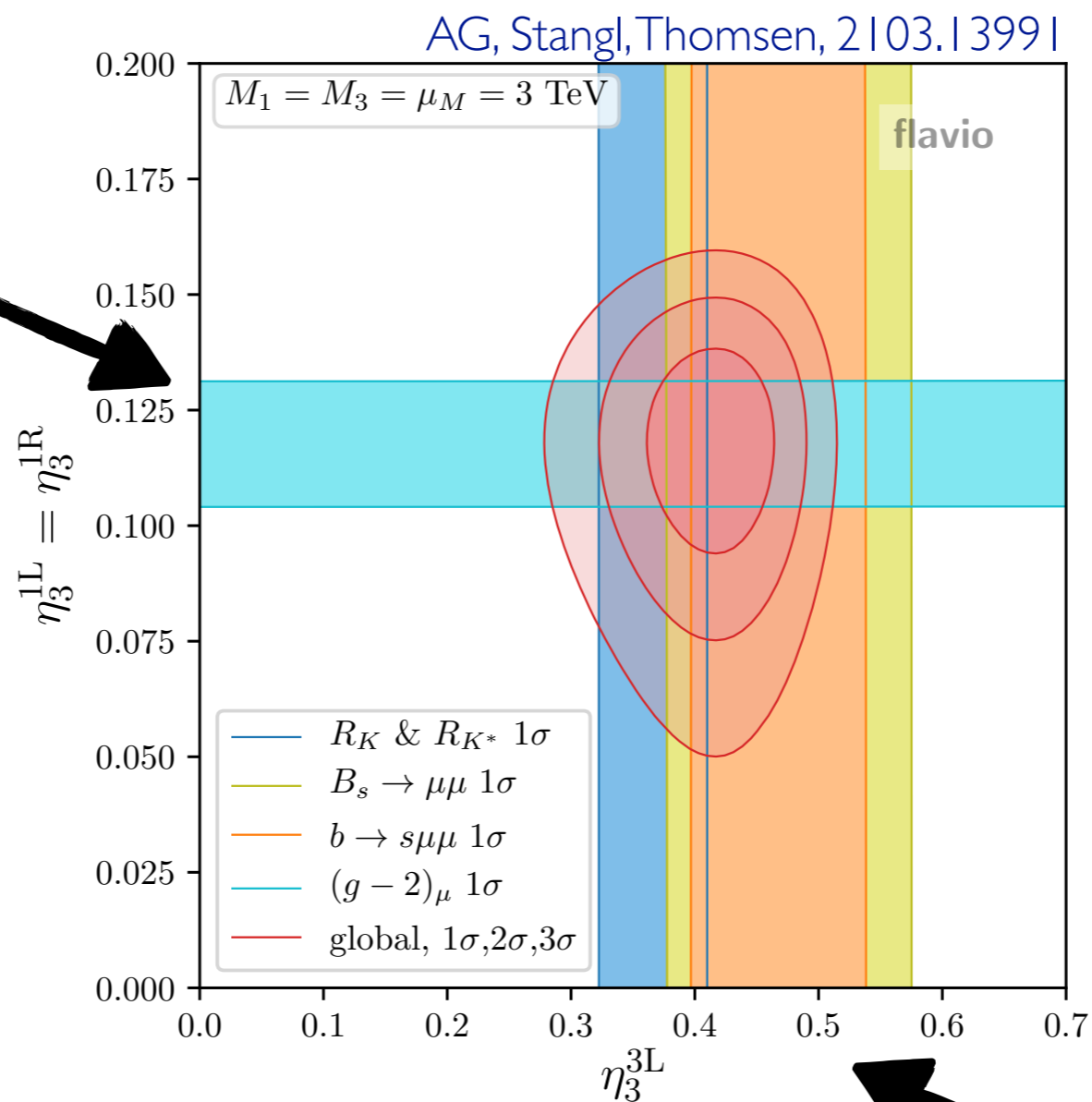
- Resolves $b \rightarrow s\mu\mu$ and $(g - 2)_\mu$ while satisfying all complementary data.
- Minimal type-I seesaw for the neutrino masses. Viable texture.
- No proton decay up to dim-6 nor sizeable cLFV.
- No Landau poles up to the Planck scale.
- Finite naturalness. No tuning.

Model Example I



* m_t/m_μ enhancement

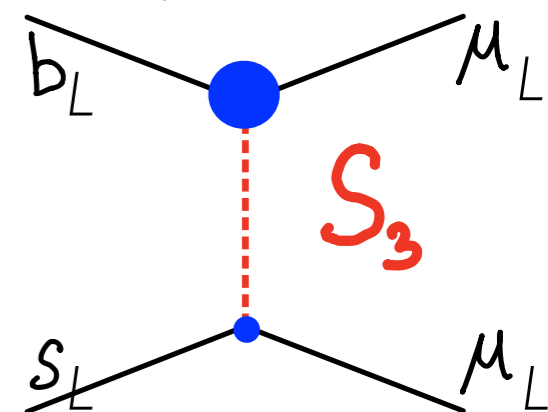
Queiroz, Shepherd; 1403.2309,
 Dorsner, Fajfer, AG, Kamenik, Kosnik;
 1603.04993,
 Coluccio Leskow, Crivellin, D'Ambrosio,
 Müller; 1612.06858
 Dorsner, Fajfer, Sumensari; 1910.03877
 Gherardi, Marzocca, Venturini; 2008.09548
 + many more



$$\eta_i^{3L} = (V_{td}, V_{ts}, 1) \eta_3^{3L}$$

* V-A structure

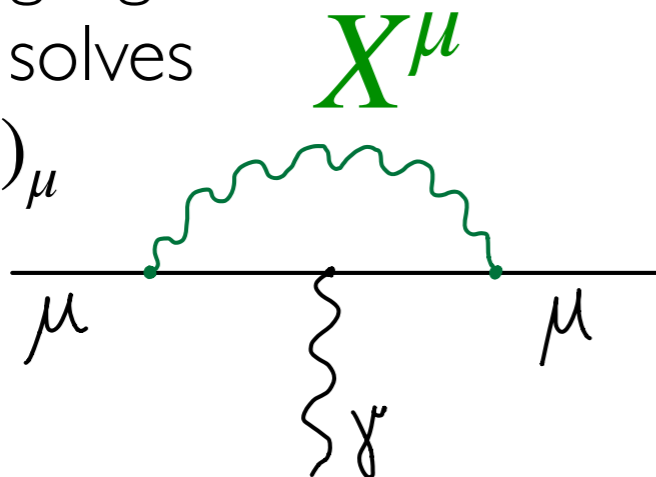
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 Marzocca; 1706.07808,
 Gherardi, Marzocca, Venturini;
 2008.09548
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- One-loop matching to SMEFT from 2003.12525
- 399 observables in **smelli** 1810.07698
- EW and flavor observables, LFV, LFU, magnetic moments, neutral meson mixing, semileptonic and rare B, D, K decays, etc.

Model Example II

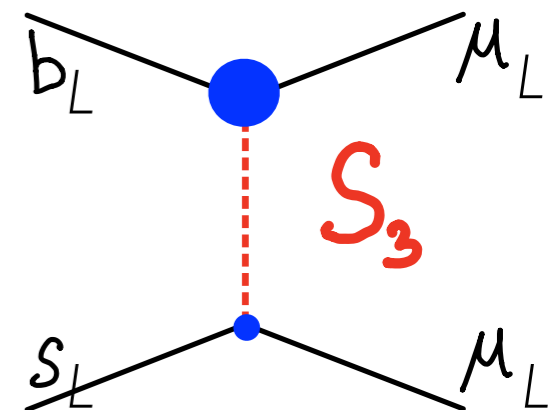
- $U(1)_X$ gauge boson solves $(g-2)_\mu$



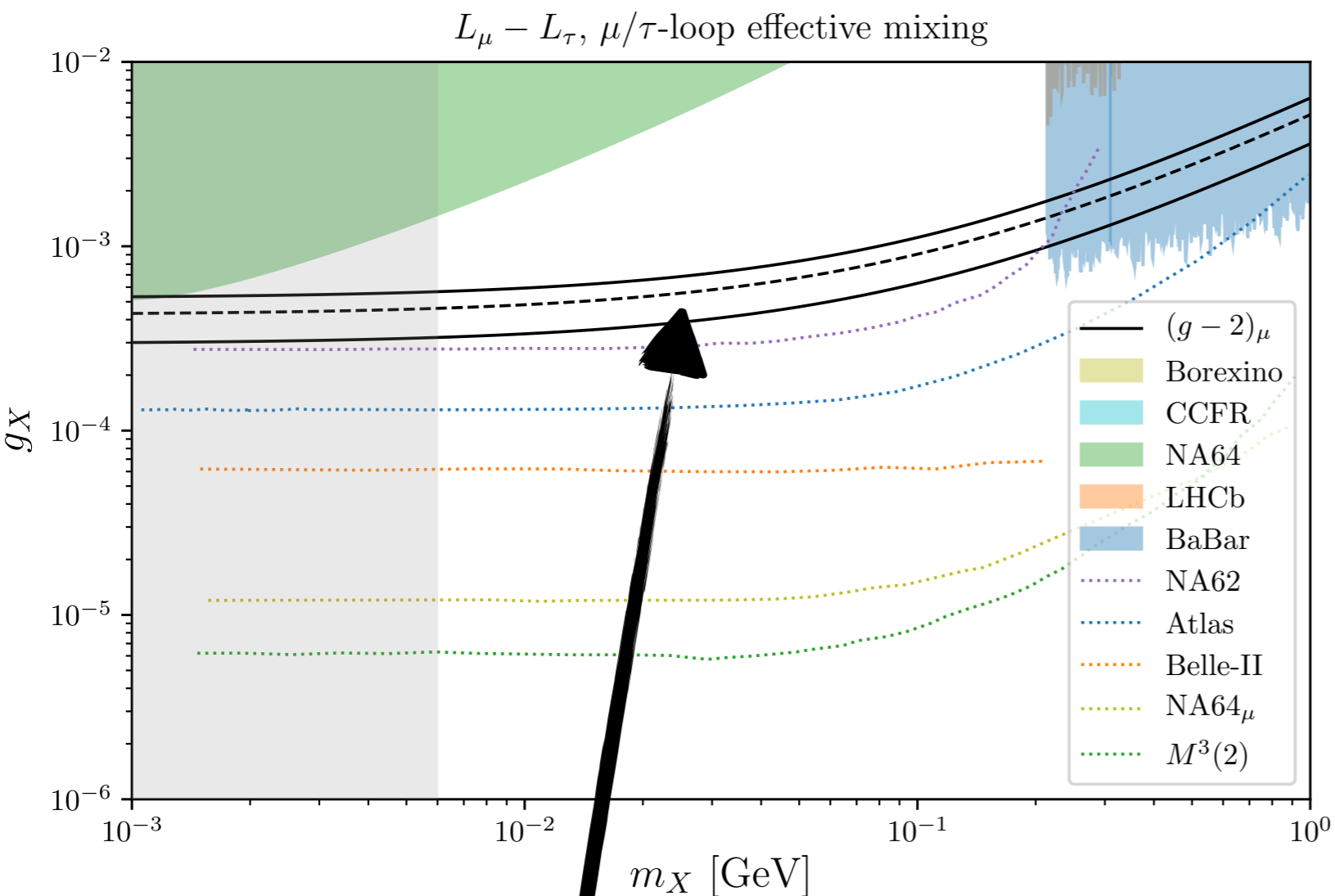
← Minimality →

AG, Stangl, Thomsen, 2103.13991

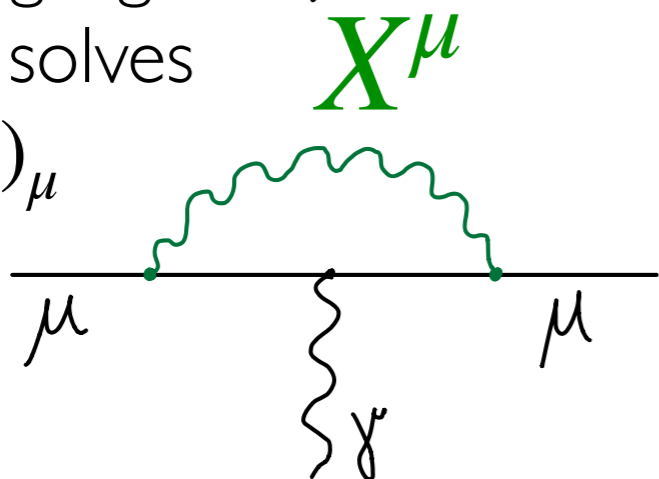
- S_3 is charged under $U(1)_X$ such that it becomes a **muoquark**.



Model Example II



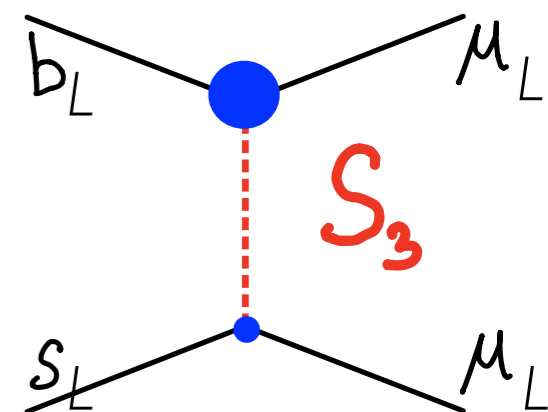
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← **Minimality** →

AG, Stangl, Thomsen, 2103.13991

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Model Example III : Radiative y_μ

- The dimension-4 muon Yukawa is forbidden by $U(1)_X$

$$X_{L_2} \neq X_{E_2} \quad (X_H = 0)$$

- Introduce two scalar muoquarks $S_\pm = (\mathbf{3}, \mathbf{2}, 7/6, X_{S_\pm})$

$$\mathcal{L} \supset \eta_L \bar{t}_R \ell_L^2 i\sigma_2 S_+ - \eta_R \bar{q}_L^3 \mu_R S_-$$

- Mix them via $U(1)_X$ breaking

$$\mathcal{L} \supset -A\phi S_+^\dagger S_-$$

Charges:

$$X_{S_+} = -X_{L_2} + X_{U_i}$$

$$X_{S_-} = -X_{E_2} + X_{Q_i}$$

$$X_\phi = -X_{S_-} + X_{S_+}$$

Example:

$\tilde{L}_{\mu-\tau}$ model:

$$(X_{L_1}, X_{L_2}, X_{L_3}) = (0, 7, -7),$$

$$(X_{N_1}, X_{N_2}, X_{N_3}) = (5, 3, 8),$$

$$(X_{E_1}, X_{E_2}, X_{E_3}) = (-3, 8, -5),$$

$$X_{Q_i, D_i, U_i} = 0.$$

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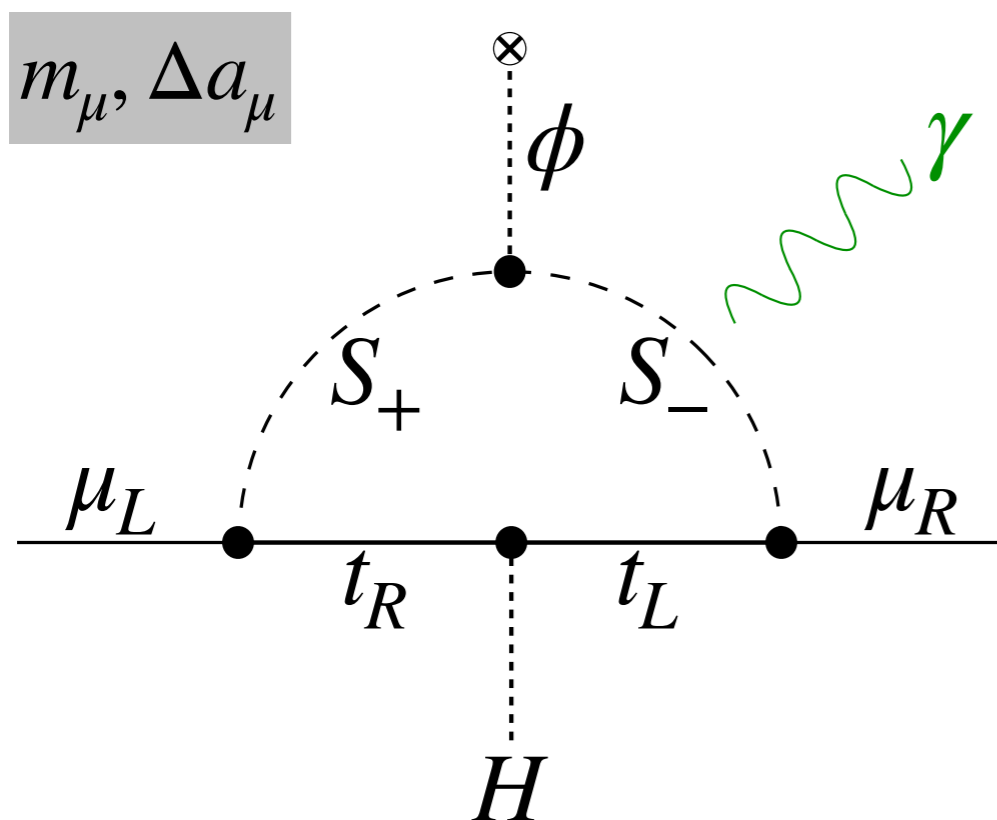
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$$\Longrightarrow \Delta a_\mu = \frac{m_\mu^2}{m_t^2} \tilde{F} \left(\frac{m_{S_1}^2}{m_t^2}, \frac{m_{S_2}^2}{m_t^2} \right)$$

Model Example III : Radiative y_μ

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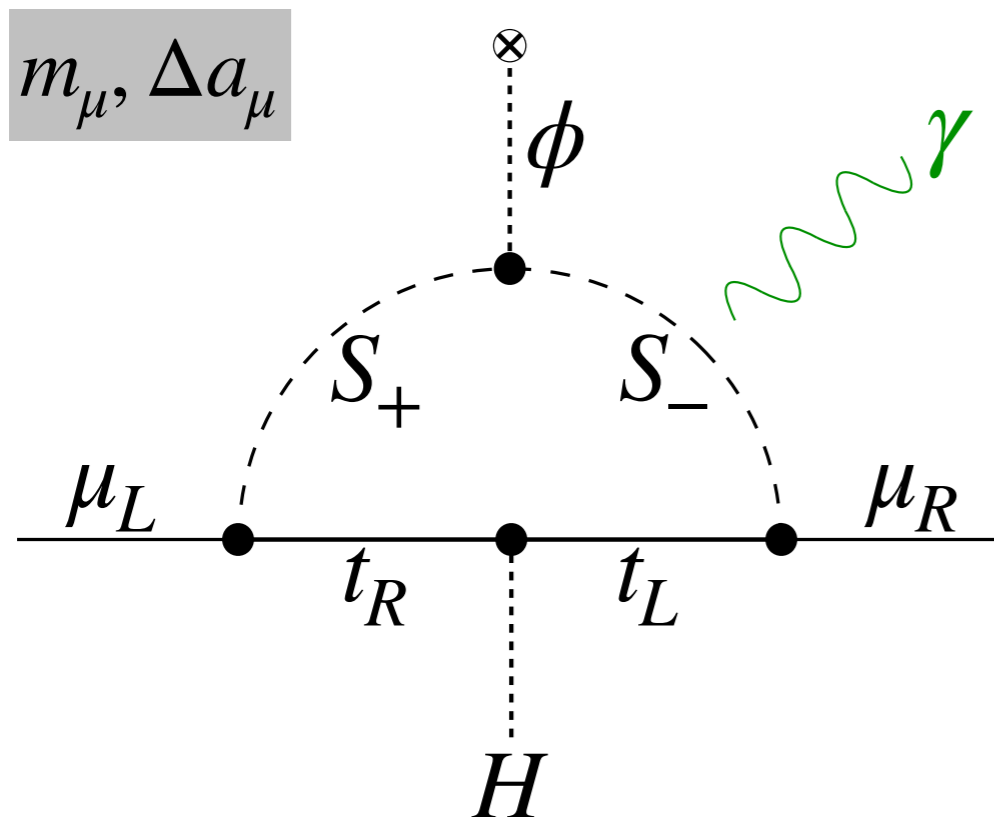
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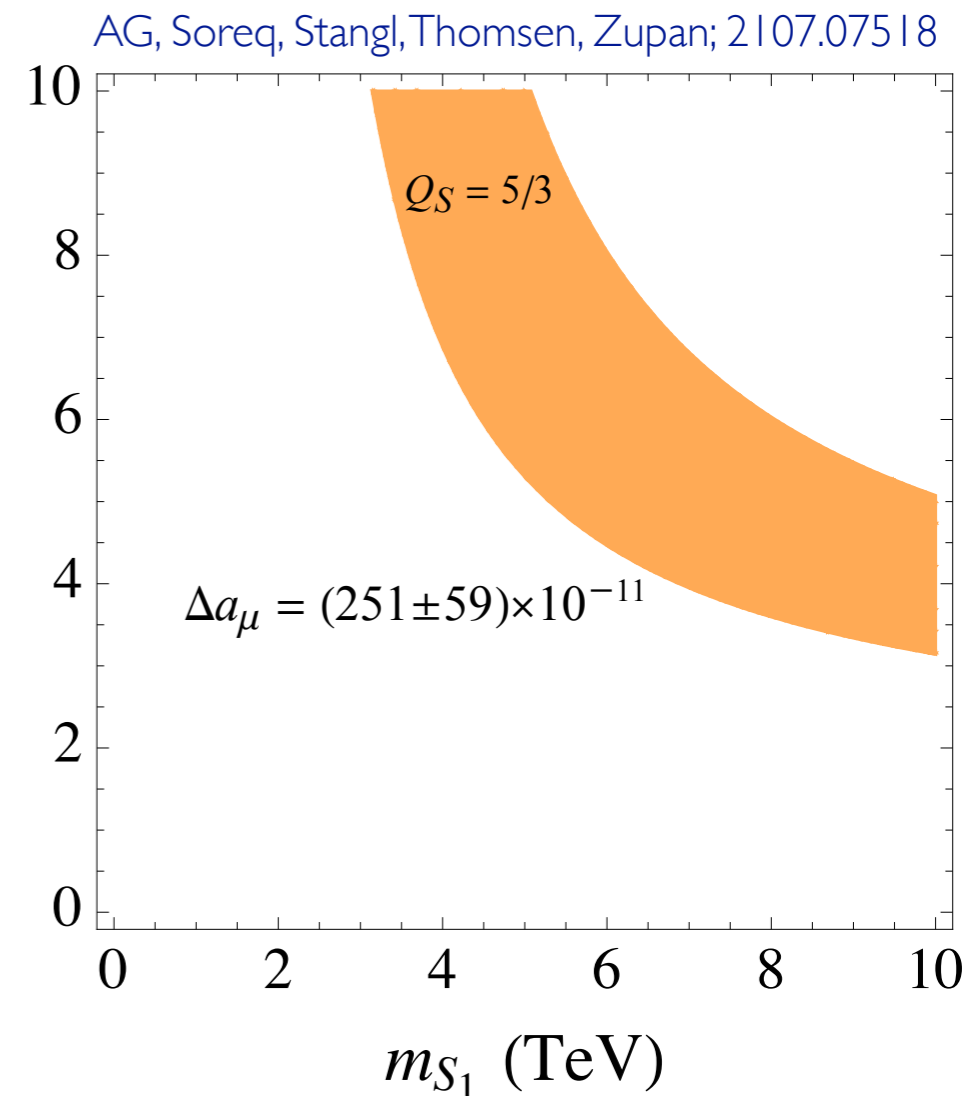
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- Mix them via $U(1)_X$ breaking

$$\mathcal{L} \supset -A\phi S_+^\dagger S_-$$



m_{S_2} (TeV)



Conclusions

- Testing accidental symmetries is an opportunity: Efficient probe of high-energy dynamics.
- Flavour anomalies might be footprints of physics beyond the SM.
- Gauged lepton flavor is an interesting direction.
- We have just scratched the surface of $U(1)_X$ phenomenology.

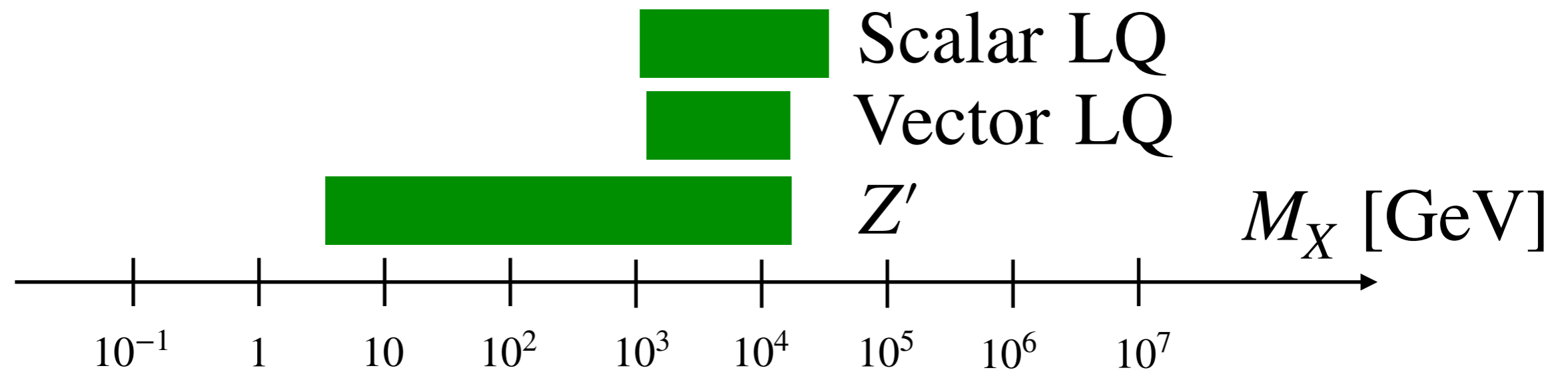
AG, Stangl, Thomsen, 2103.13991

	Type A	Type B	Type C
$R_{K^{(*)}}, b \rightarrow s\mu\mu$	S_3	S_3	heavy X
$(g - 2)_\mu$	S_1/R_2	light X	S_1/R_2

Backup

Mediators

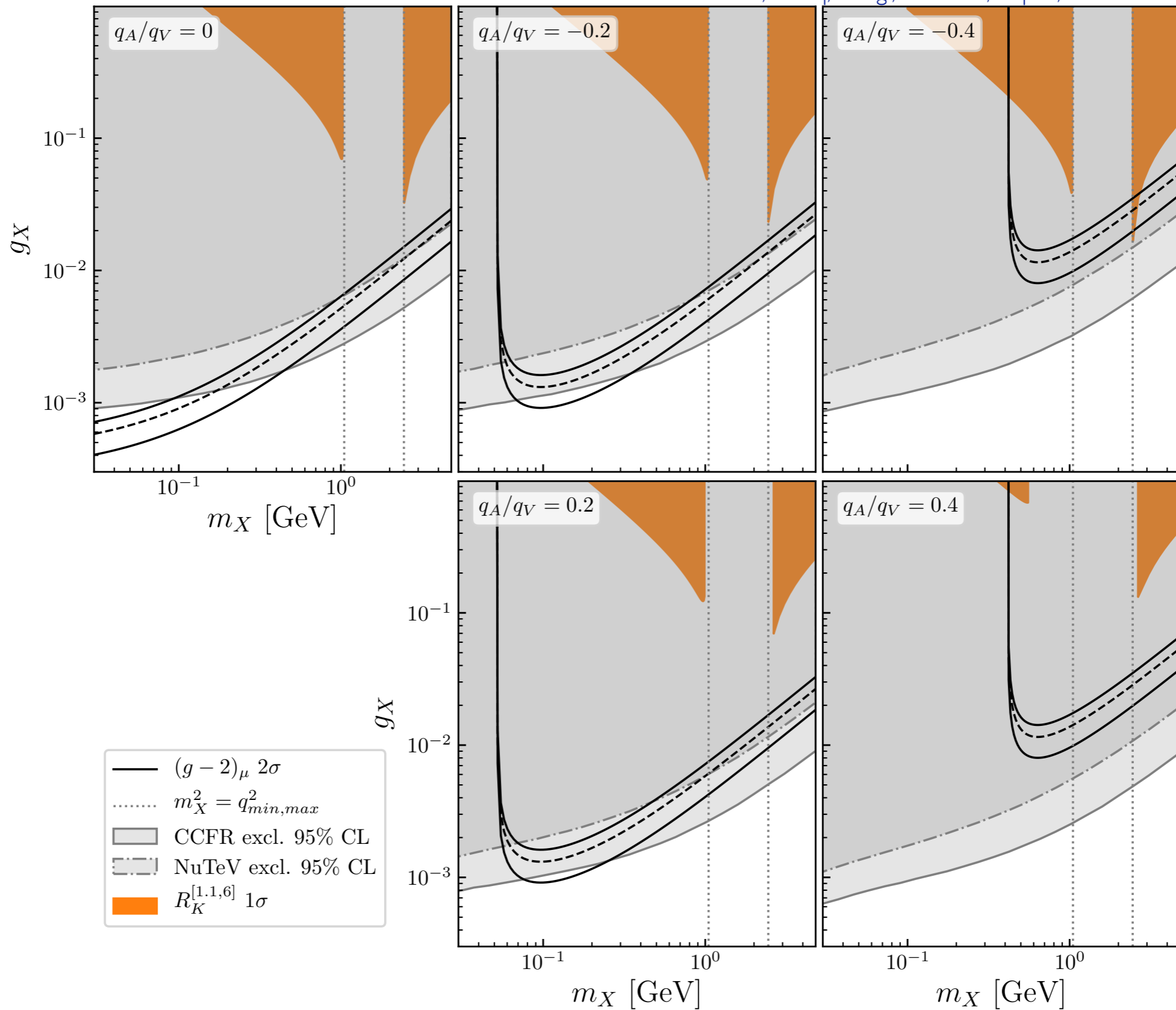
- Tree-level $b \rightarrow s\ell\ell$ models
- Targeted mass window:



- Comments on the difference:
 - [Upper bound] Z' induces tree-level $B_s - \bar{B}_s$ oscillations. Vector LQ comes with the Z' .
 - [Lower bound] LQ s are coloured and copiously produced at the LHC.

Single mediator?

AG, Soreq, Stangl, Thomsen, Zupan; 2107.07518



The $U(1)_X$ atlas

Quark flavor universal

- $Y^{u,d}$ are allowed $\Rightarrow X_{Q_i} = X_{U_j} = X_{D_k}$ – $10 \leq X_{F_i} \leq 10$
[276 inequivalent solutions]
($X_H = 0$)
- Muoquark requirement
eg. S_3 LQ: $X_{L_2} \neq \{X_{L_{1,3}}, -3X_q\}$ [273 inequivalent solutions]
- Y^e allowed \Rightarrow **vector category** : $X_{L_i} = X_{E_i}$ [252 inequivalent solutions]
chiral category : the rest. [21 inequivalent solutions]

The $U(1)_X$ atlas

Third-family-quark

- The “2+1” charge assignment

$$X_{Q_i} = X_{U_j} = X_{D_k} \equiv X_{q_{12}} \quad \text{for all } i, j, k = 1, 2, \quad \text{and}$$

$$X_{Q_3} = X_{U_3} = X_{D_3} \equiv X_{q_3} . \quad (X_H = 0)$$

- The CKM elements (V_{td}, V_{ts}) at dim-5:

$$\mathcal{L} \supset \frac{x_i^u}{\Lambda} \bar{Q}_i \tilde{H} \phi U_3 + \frac{x_i^d}{\Lambda} \bar{Q}_i H \phi D_3 + \text{H.c.}$$

- The ACC conditions are satisfied provided

$$2X_{q_{12}} + X_{q_3} = 3X_q$$

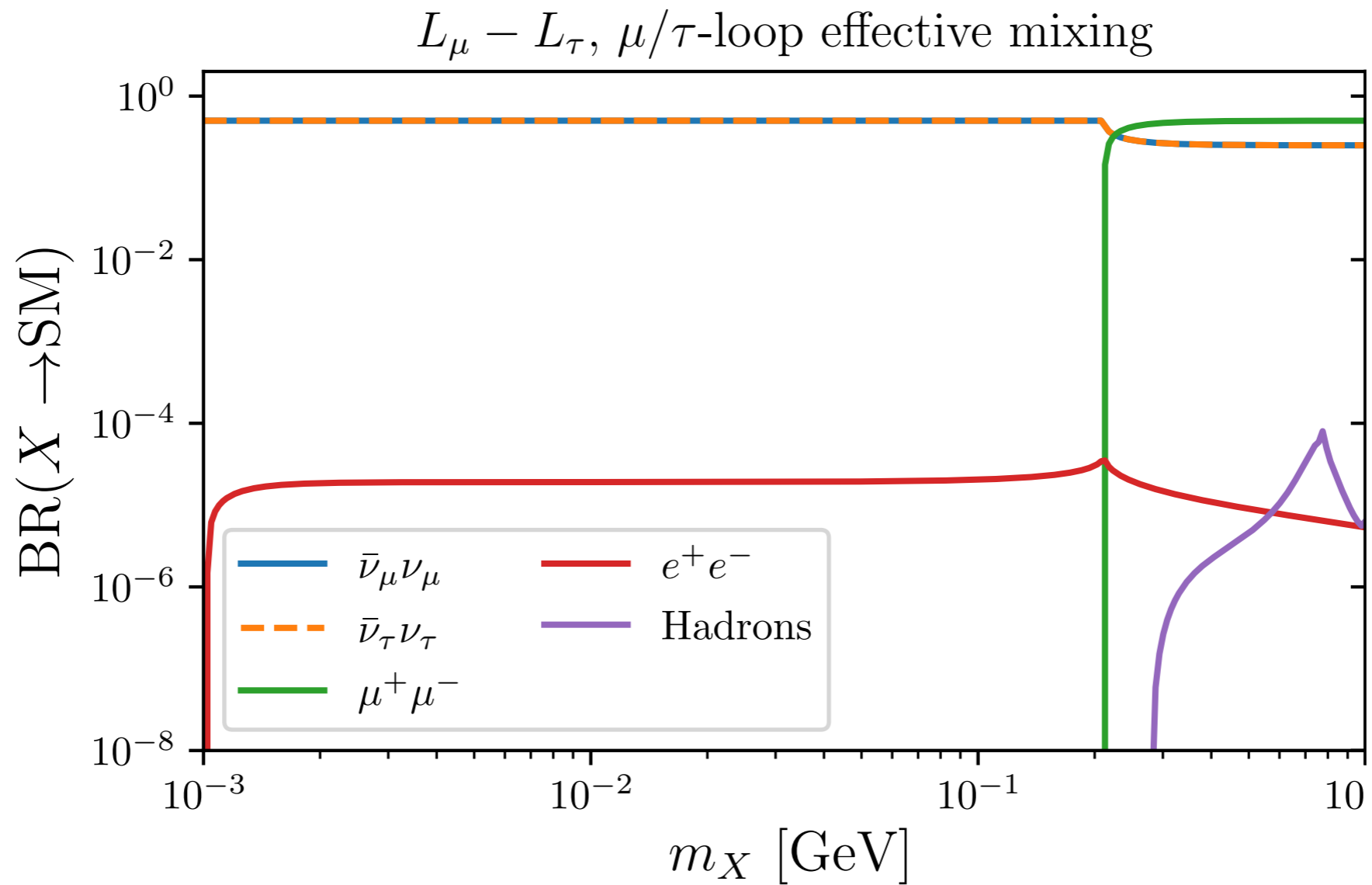
*The quark flavor-universal solutions can immediately be extended to the 2 + 1 case.

- The muoquark conditions slightly change: $X_{q_{12}} = 0$

$$\text{eg. } S_3 \text{ LQ: } X_{L_2} \neq \{X_{L_{1,3}}, X_{L_{1,3}} - X_{q_3}, -X_{q_3}, -2X_{q_3}, -3X_{q_3}\} \quad \text{[171 inequivalent sol.]}$$

$$-10 \leq X_{F_i} \leq 10$$

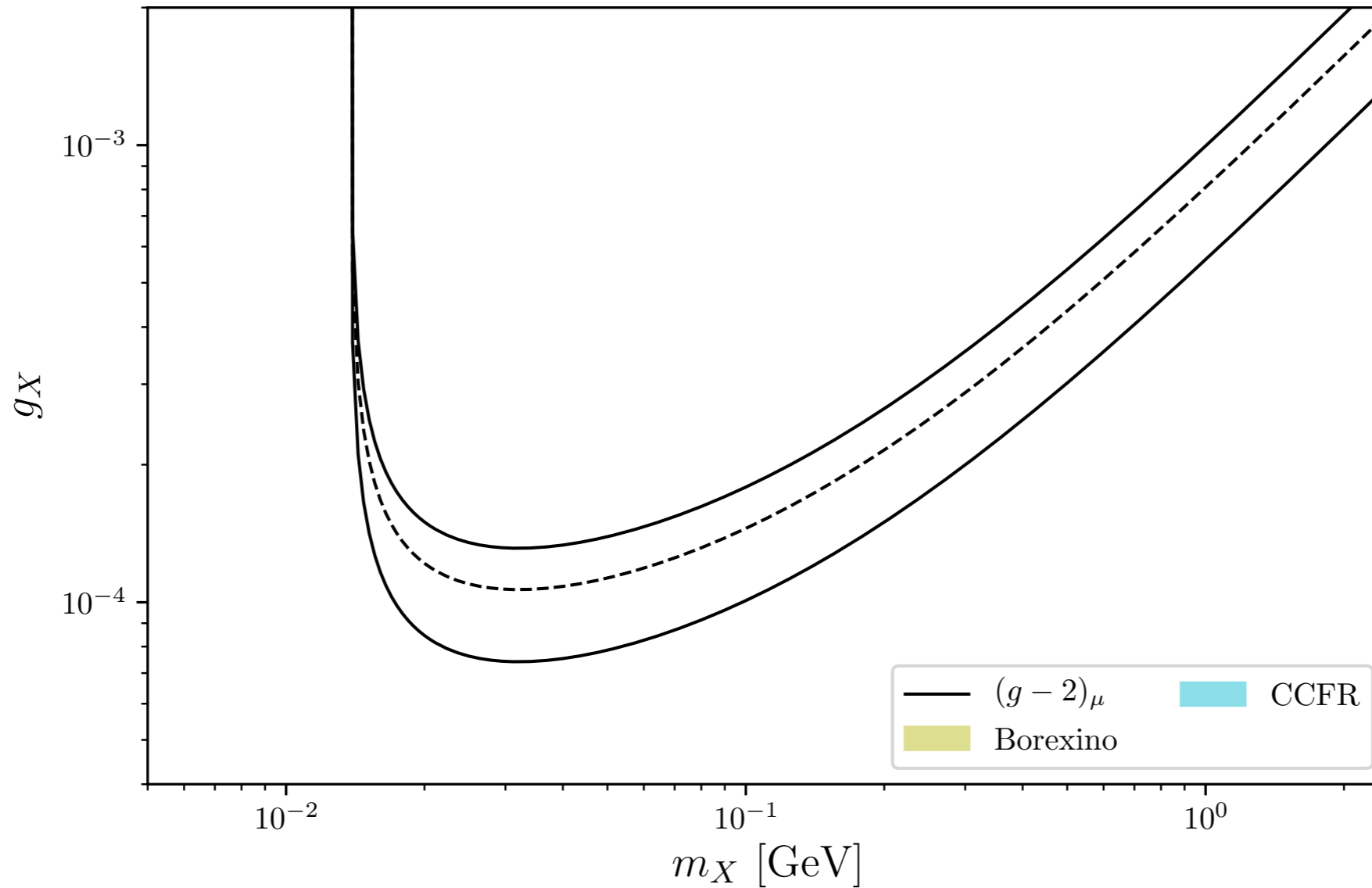
Gauged $L_\mu - L_\tau$



Most studied case:
[hep-ph/0104141](#),
[hep-ph/0110146](#),
[1311.0870](#),
[1403.1269](#),
[1406.2332](#),
 ...

Gauged $\tilde{L}_{\mu-\tau}$

$$\tilde{L}_{\mu-\tau}, \varepsilon = 0$$



$$(X_{L_1}, X_{L_2}, X_{L_3}) = (-1, 7, -6),$$

$$(X_{N_1}, X_{N_2}, X_{N_3}) = (-7, -2, 9),$$

$$(X_{E_1}, X_{E_2}, X_{E_3}) = (1, 6, -7),$$

$$X_{Q_i, D_i, U_i} = 0.$$

- Axial coupling to electrons:
 \implies No NSI bounds

Z' models: $L_\mu - L_\tau$

- Constraints:

- Neutral meson mixing:

$$\sim \frac{g_{bs}^2}{m_{Z'}^2} \lesssim \frac{\left| \frac{M_{12}}{M_{12}^{\text{SM}}} - 1 \right| / 10\%}{(244 \text{ TeV})^2}$$

$$\left| \frac{M_{12}}{M_{12}^{\text{SM}}} - 1 \right| \approx 10\%$$

$$\frac{g_{\mu\mu}}{m_{Z'}} \gtrsim \frac{1}{5.3 \text{ TeV}}$$

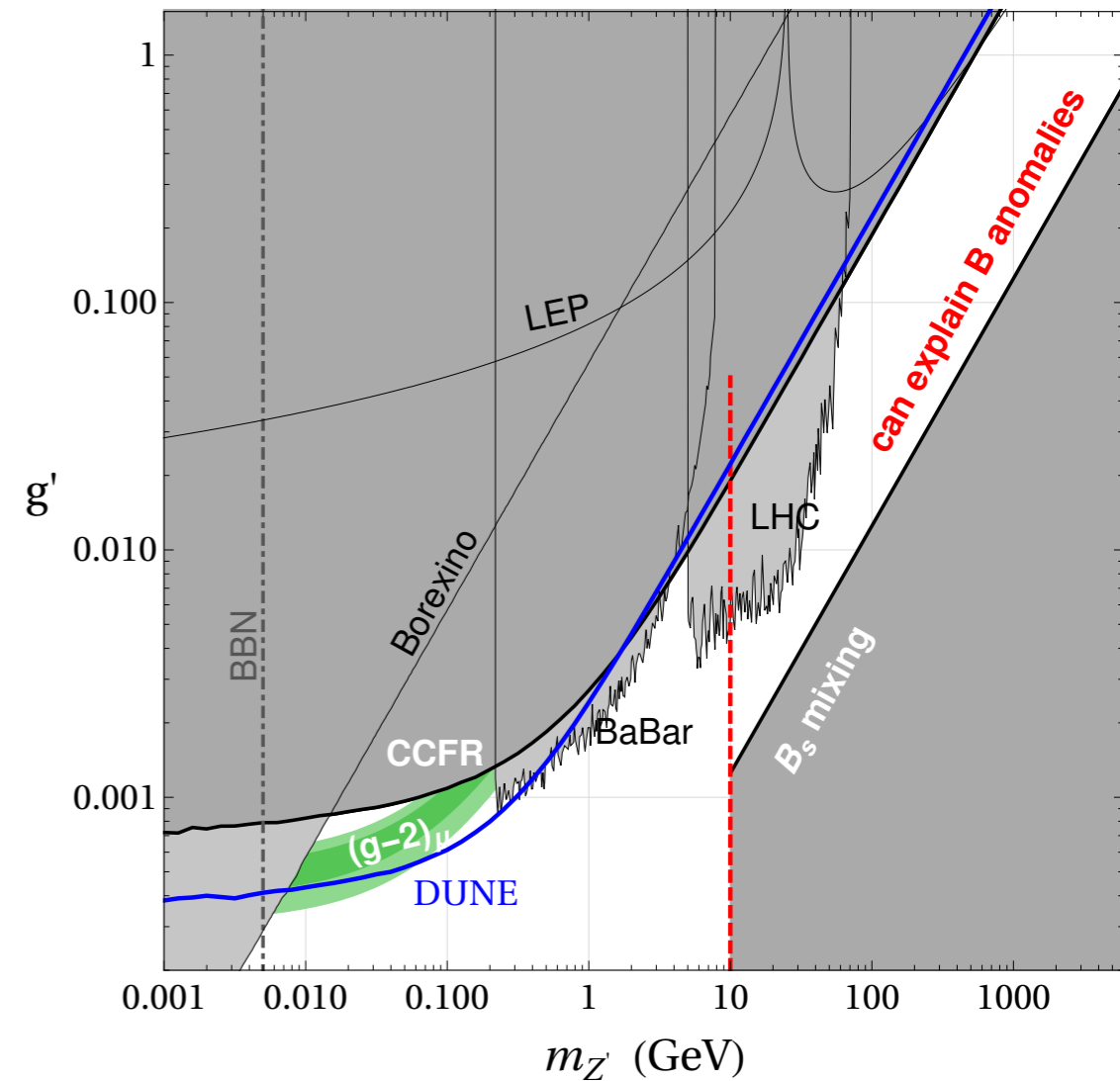
- Neutrino trident production $\nu\gamma \rightarrow \nu\mu\mu$

$$\frac{g_{\mu\mu}}{m_{Z'}} \lesssim \frac{1}{0.5 \text{ TeV}}$$

($b \rightarrow s\ell\ell$ fit suggests left-handed lepton doublet is involved)

$L_\mu - L_\tau$

Altmannshofer, Gori, Martin-Albo, Sousa, Wallbank 1902.06765



- Simultaneous explanation of $(g - 2)_\mu$ not possible

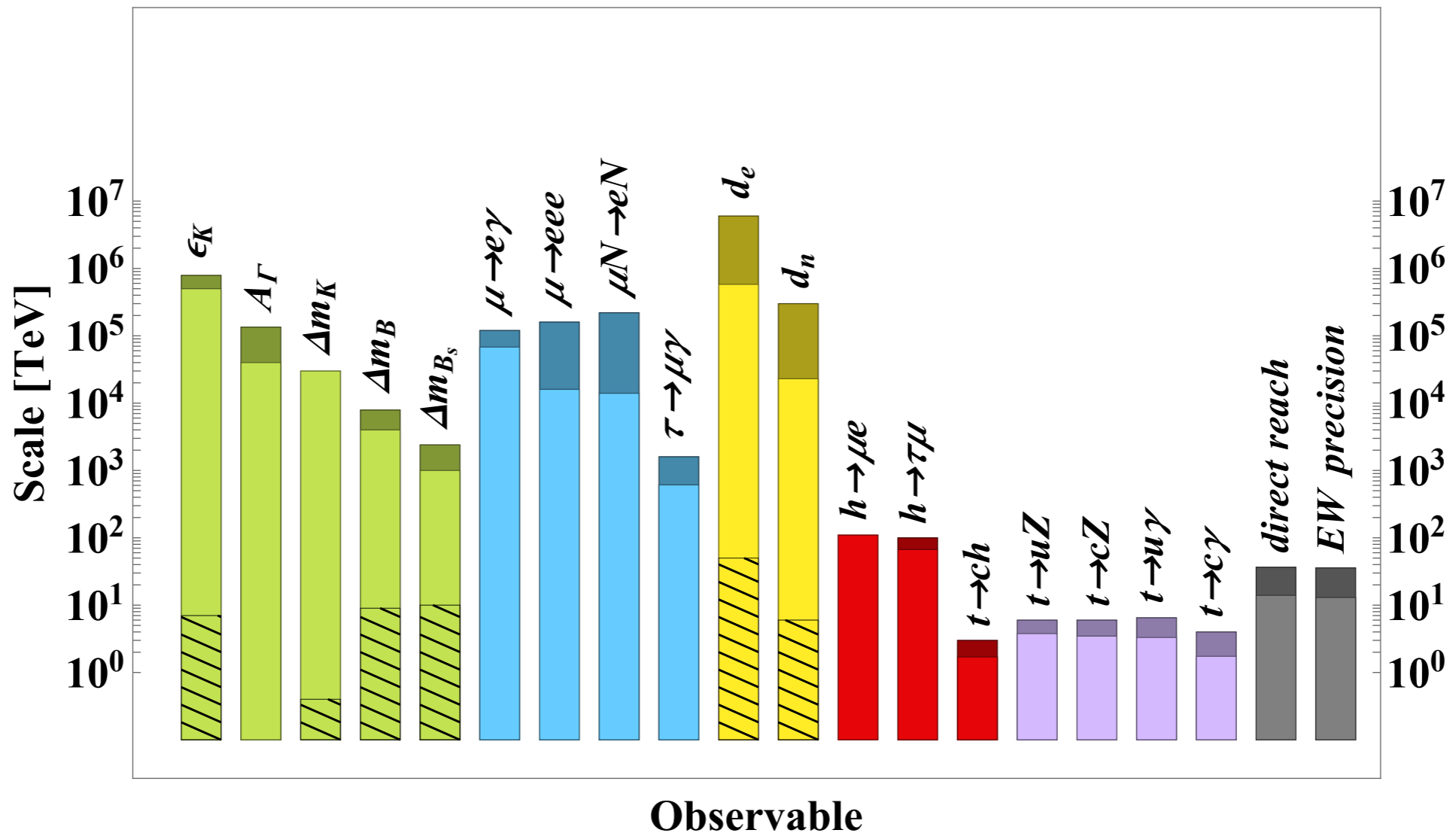


Fig. 5.1: Reach in new physics scale of present and future facilities, from generic dimension six operators. Colour coding of observables is: green for mesons, blue for leptons, yellow for EDMs, red for Higgs flavoured couplings and purple for the top quark. The grey columns illustrate the reach of direct flavour-blind searches and EW precision measurements. The operator coefficients are taken to be either ~ 1 (plain coloured columns) or suppressed by MFV factors (hatch filled surfaces). Light (dark) colours correspond to present data (mid-term prospects, including HL-LHC, Belle II, MEG II, Mu3e, Mu2e, COMET, ACME, PIK and SNS).

Neutrino masses

- The minimal type-I seesaw mechanism

$$m_\nu \simeq -v^2 y_\nu (M_R + y_\Phi \langle \Phi \rangle)^{-1} y_\nu^T$$

- The $U(1)_{B-3L_\mu}$ imposes a flavor structure for y_ν, M_R, y_Φ .
- The Dirac mass matrix splits into 2×2 $e\tau$ block and a diagonal μ .
- The Majorana mass matrix is entirely populated except (2,2) entry.
- There is enough parametric freedom to accommodate for:
 - *Neutrino oscillations data,*
 - *The Planck limit on the sum of neutrino masses,*
 - *The absence of neutrinoless double beta decay.*
- Not the case for all $U(1)_{X_\mu}$. Example is $U(1)_{L_\mu-L_\tau}$, see 1907.04042.