Classification of Jets using Jet Morphology and Deep Learning

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Motivation

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- Post-Higgs discovery: Non observation of (statistically) significant excess over SM expectation at LHC ... <u>anomalies</u> at several low/high energy expts!
- > Severe constraint on well-motivated Beyond SM scenarios ...
- Machine Learning (Deep Learning): Outperformed traditional approach ... huge excitement within Particle Physics community!
- Many applications with success: Jet classification, Anomaly detection, Particle detection, Pileups, ...
- Black box" models, famous for their performances, but not so trivial to extract specific physics knowledge(s) ...

Motivation

Can we achieve Convolutional Neural Network (CNN) level performance with calculable physics observables for Classifying Jets?

We find,

Possible to obtain classification performance (comparable to CNN)
 e.g., Jet Spectrum!

- Two examples:
 - Higgs jet vs QCD jet classification
 - <u>Top jet vs QCD jet classification</u>: Need to include additional inputs from Jet Morphology!

Based on:

AC, Lim and Nojiri, JHEP 07 135 (2019) AC, Lim, Nojiri and Takeuchi, JHEP 07 111 (2020)

Jets



Calorimeter and Tracker Information clustered together - Jet Radius (R) and jet algorithm (kT, anti-kT, C/A)

Map to the underlying physics!

Classification of Jets

Goal: To know the jets of SM particles, apply the knowledge to BSM Physics!

- <u>General strategy</u>:

- Nature and Multiplicity of constituent particles, ratio of EM to hadronic energy deposits, Vertex information ...
- Distribution of energy deposits inside the Jet ... e.g., widely distributed or, prong-like structured
- **Boosted particles**: As centre-of-mass energy increases at LHC, particles with large transverse momentum, classification become a challenging task!

Boosted Jet Classification



Look inside the "Fatjet", study the energy flow inside ...

Probe BSM particles using Fatjets (Higgs, Top, W/Z jets) ...

Jets as "Image"

Oliveria et. al., JHEP 07, 069 (2016)



- Calorimeters "Camera", pixels "energy deposits"
- Paradigm shift for visualizing and classifying jets.
- Significant improvement using ML @Experiment: real data combined with MC! (e.g., DPS-2017-013, DP-2018/046 ... many more)



[Translated] Pseudorapidity (n)

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Machine Learning

"Giving computers the ability to learn without explicitly programming them" (Arthur Samuel, 1959)



Replace "Car" with "Jet":e.g., Jet \rightarrow mass, pT, njets, ... \rightarrow Cuts \rightarrow Higgs / QCDJet \rightarrow Image/4-mom \rightarrow Higgs / QCD

- Types: Supervised learning, Unsupervised leaning ...

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Neural Network Architecture



 <u>Convolutional NN</u>: (In general) One of the best Classifiers till date!

What are these "Black-box Models" Learning?



Jet Spectra

<u>Spectral Analysis</u>: Jet \rightarrow Constituents

(Energy Deposits in Trackers and/or Calorimeters)

$$S_2(R;\Delta R) = \frac{1}{\Delta R} \sum_{\substack{i,j \in \text{jet} \\ R_{ij} \in [R,R+\Delta R)}} p_{T,i} p_{T,j},$$

$$R_{ij} = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2}$$

Resolution parameter : $\Delta R = 0$.

- 2-point energy correlation function among the Jet constituents (derivable from a General classifier with jet constituents)

<u>Spectrum</u>: distribution binned in R = [0, 2*jet radius]

- Jet as a "Graph" with Vertices and Edges!

Similar proposals,

Tkachov Int. J. Mod. Phy A12 (1997), Jankowiak et al JHEP 06 057(2011), Thaler et al JHEP 04 013 (2018)

Jet Spectra

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Jet Spectra

<u>Spectral Analysis</u>: Jet \rightarrow Constituents

(Energy Deposits in Trackers and/or Calorimeters)



Jet Spectrum



[courtesy to S.H.Lim]

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Jet Spectrum



"Hard" center surrounded by soft particles, smoothly falling distribution ...

[courtesy to S.H.Lim]

Jet Trimming ...

Krohn, Thaler and Wang, JHEP 02, 084 (2010)



Cuts the long tail ... Removes "soft" components, keeps the interesting parts!

Our Network

We define a quantity,

$$S_{2,\text{soft}}(R;\Delta R) = S_2(R;\Delta R) - S_{2,\text{trim}}(R;\Delta R)$$

$$S_{2,\text{trim}}(R;\Delta R) = p_{T,\mathbf{J}}^2 \cdot \mathcal{O}[1],$$

$$S_{2,\text{soft}}(R;\Delta R) = p_{T,\mathbf{J}}^2 \cdot \left(\mathcal{O}[f_{\text{trim}}] + \mathcal{O}[f_{\text{trim}}^2]\right)$$

We keep the
"soft part"!!



Train the network using S2 spectra and compare with CNN classification!

Higgs jet vs QCD jet

AC, Lim and Nojiri, JHEP 07 135 (2019)

- Performance comparable to CNN (Also, similar to D2)
- No Information loss, Smaller no of Inputs: CNN (~20 * 20), DNN (2 * 20)











Top jet has More activity Away from the Centre!

How about the Jet Spectrum?

Top Jet

Trimmed (3 prong) Top jet must have 4 peaks in the S2 spectrum!

Parton
level
$$S_{2,\text{trim}}(R) = (p_{T,b}^2 + p_{T,q}^2 + p_{T,\bar{q}}^2) \,\delta(R) + 2p_{T,b}p_{T,\bar{q}}\delta(R - R_{b\bar{q}}) + 2p_{T,q}p_{T,\bar{q}}\delta(R - R_{q\bar{q}})$$



AC, Lim, Nojiri and Takeuchi, JHEP 07 111 (2020)

QCD Jet

Trimmed jet spectrum peaks at smaller values of R!



Depending on the transverse momentum, Top jet spectrum may also sho

Top jet spectrum may also show 1/2 peaks!

Overlapping subjets



- Additional correlations may help!
- Like Trimmed-Soft components, how about calculating correlations at the <u>subjet level</u>?

Correlation with the Leading p_{T} subjet

- the leading p_T subjet, \mathbf{J}_1 , denoted by 1,
- the compliment set of \mathbf{J}_1 , $\mathbf{J} \setminus \mathbf{J}_1$, denoted by c,

$$\begin{split} S_{2,11}(R) &= p_{T,i_1}^2 \delta(R), \\ 2 \, S_{2,1c}(R) &= 2 p_{T,i_1} p_{T,i_2} \delta(R - R_{i_1 i_2}) + 2 p_{T,i_1} p_{T,i_3} \delta(R - R_{i_1 i_3}), \\ S_{2,cc}(R) &= (p_{T,i_2}^2 + p_{T,i_3}^2) \delta(R) + 2 p_{T,i_2} p_{T,i_3} \delta(R - R_{i_2 i_3}), \end{split}$$



Two prong Structure, Overlap effect Amplified!!

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The revised Architecture —___ (Top vs QCD)

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Top Jet



- A Gap observed!

- CNN is doing better in Background rejection!
- Expected?
 S2 is just 2-point correlations, CNN has more complex pixel Correlations ...

Complete info is missing in S2 Spectra!

AC, Lim, Nojiri and Takeuchi, JHEP 07 111 (2020)

Soft Activity

- QCD jets (Quark/gluon jets): More soft activity all around the Jet Image

- Higgs Jet:

Color singlet object, activity mostly centered around the b-jets ...

- Top jet:

Colored object, more activity than the Higgs, will have large angle soft radiations too ...

Can we quantify these effects to see the discrimination power of these soft activities?

Distribution of pixels



- More pixel hits for Gluon jets!
- Similar to Quark/Gluon discrimination (# of charged tracks)
- But, an IRC unsafe quantity! (sensitive to soft/ Colinear splittings!)

- NOT used directly, maybe important for classification!

- What about a "geometric description" of the pixel hits?

Geometry of pixel hits

- N_0 : # of active pixels in the Jet
- dN_n : # of pixels surrounding the pixels used in N_{n-1}
- N_n : sum of # of pixels N_0 ,, dN_n



$$N_0 = 3$$
$$N_1 = 9 * N_0$$
$$N_1/N_0 = 9$$

 $N_0 = 3$ $N_1 = 3 * N_0 + 6$ $N_1/N_0 = 5$

Minkowski Sequence:

[Hermann Minkowski et al Mathematische Annalen 57 (1903), 447-495].

A sequence of numbers describing the spatial distribution of pixels!

More connected (isolated) the pixels, Smaller (larger) the ratio!

A notion of the geometrical size of the objects!

Minkowski Seq for Jet Image



- Lower orders are important, higher terms may not show much difference ...

- We include first two terms, namely N_0 and N_1 , as input to Neural Network!

The revised Architecture (Top vs QCD)



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Comparable performance to CNN



- The Gap is closed now!!

Wider functional space
 Coverage by CNN ...
 Morphology helps to
 Probe these phase spaces ...

- Training is more controled (seed variation) than CNN!

AC, Lim, Nojiri and Takeuchi, JHEP 07 111 (2020)

Calibration



- To model CNN, need to estimate S2, N₀ and N₁ distributions properly!
- We compare distributions from two different PSMC (e.g., Pythia vs Herwig) Good <u>agreement</u> for "Trimmed" components of S2, but S2 (soft), N₀ and N₁ are <u>highly sensitive</u> to PS algorithm, as expected!

- Reweighting performed, Soft distributions (partially) improved, more work needed!

(For q/g case, see Larkoski et al JHEP (2013, 2014), Bhattacherjee et al JHEP (2015) + more)

Summary & Outlook

- Jet Spectrum: Higgs and Top tagger based on 2-point energy correlations among the Jet constituents and the geometry of the Soft radiations
- With smaller set of inputs and better controlled training, we obtain classification performance comparable to the CNN
- IRC unsafe plays some significant role, less controlled in Theory, need to tune with experimental data!
- Time to make use of "<u>Interpretable</u>" Deep Learning frameworks to devise new proposals testable at ongoing/future colliders! Improve & extend traditional taggers for better sensitivity!
- ◆ Jet Clustering algorithms need to be revisited and improved, if possible! [Ref: AC, Dasmahapatra et. al. 2008.02499, Nachman et. al. 2008.06064]

Thank you!

Back ups

Convolutional Neural Network (CNN)



- Large number of free parameters (Hyperparameters) to be optimized
- Computationally very expensive!



Higgs jet vs QCD jet

ROC Curve : Signal efficiency Vs Background rejection rates



SoftDrop Effect





- The impact on the top jet classification performance due to the change of groomer is small!



Training uncertainty



- Variation wrt to Seeds
- CNN has more complexity, so predictions vary widely!
- RN seems more robust under the variation of seeds ...

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Herwig samples



Herwig

 N_0 distribution helps to close the (small) Gap!

Re-weighting



Wider N₀ distribution in PY8, gluons are more radiating ...

Re-weighting



Better control in ratio N_1/N_0 distribution ...

Re-weighting



- The disagreement between PY8 and HW7 remains after the reweighting!

- The difference is large enough to achieve perfect agreement simply by reweighting!

Interpretable Architecture

A general classifier,

$$h_i = \Psi_i[S_{2,A}; \vec{x}_{kin}], \qquad \begin{array}{l} \text{II - input for predictions} \\ A = 1 : \text{``Hard''} \\ A = 2 : \text{``Soft''} \end{array}$$

Using a Functional Taylor Series Expansion around $S_{2,A}(R) = 0$ gives,

$$h_{i} = w_{i}^{(0)}(\vec{x}_{\rm kin}) + \int dR S_{2,A}(R) \frac{w_{i,A}^{(2)}(R; \vec{x}_{\rm kin})}{2} + \frac{1}{2} \int dR_{1} dR_{2} S_{2,A}(R_{1}) S_{2,B}(R_{2}) \frac{w_{i,AB}^{(4)}(R_{1}, R_{2}; \vec{x}_{\rm kin})}{12} + \cdots$$

Consider the first non-trivial term with S2,

$$h_i = \frac{1}{2} \int dR \, S_{2,A}(R) w_{i,A}^{(2)}(R; \vec{x}_{\rm kin})$$

h = input for prodictions

Read of the "weights" to get the correlation between the Weights and S2

- Interpretability!

In short,
$$h = \sum_{k} S_{2,\text{trim}}^{k} w_{1}^{k} + \sum_{k} S_{2,\text{soft}}^{k} w_{2}^{k},$$
$$\hat{y}_{i} = \exp[w_{i}^{(\text{out})} h] / \sum_{i} \exp[w_{i}^{(\text{out})} h],$$

Interpretable Architecture



- An MLP trained on pT and mass of the jet, generates the weights w1 and w2 (MLP has 3 hidden layers with nodes 400, 100 and 40 respectively!)
- "Softmax" classifier combines the "Radiation module" with weights!

- Performance of the classifier depends on the "correlation" of "weights" and "S2 spectra!

Minkowski Sequence/Functional

In Mathematics:

A notion of the geometrical size of the objects



MLP architecture

The relation networks used in this paper are implemented as follows. The module for analyzing the energy correlation with jet trimming, $h_{\text{trim}} = \text{MLP}_{\text{trim}}(x_{\text{trim}}, x_{\text{kin}})$, consists of two hidden layers,

where z_i is the standardized inputs of x_i , and FC is a fully-connected layer with a given output size and activation function. Note that we do not apply L_2 regularization for the FCs with linear activation. The module for analyzing the energy correlation of \mathbf{J}_1 and $\mathbf{J} \setminus \mathbf{J}_1$ is as follows.

$$\begin{aligned} \mathbf{h}_{\mathbf{J}_{1}}^{(1)} &= \mathrm{FC}(\mathbf{z}_{\mathbf{J}_{1}}, \mathbf{z}_{\mathrm{kin}}), & \text{size: 200, activation: ELU} \\ \mathbf{h}_{\mathbf{J}_{1}}^{(2)} &= \mathrm{FC}(\mathbf{h}_{\mathbf{J}_{1}}^{(1)}), & \text{size: 200, activation: ELU} \\ \mathbf{h}_{\mathbf{J}_{1}} &= \mathrm{FC}(\mathbf{h}_{\mathbf{J}_{1}}^{(2)}), & \text{size: 5, activation: linear} \end{aligned}$$
(C.2)

The logits u' for the binary classification is implemented as follows.

For the relation networks with inputs x_{geometry} , we replace $h_{\text{logit}}^{(1)}$ of eq. (C.3) as follows.

$$\boldsymbol{h}_{\text{logit}}^{(1)} = \text{FC}(\boldsymbol{h}_{\text{trim}}, \boldsymbol{h}_{\mathbf{J}_1}, \boldsymbol{z}_{\text{geometry}}), \text{ size: 200, activation: ELU,}$$
 (C.4)

CNN architecture

The vanilla CNN of this paper consists of six convolutional layers with a filter size 3×3 . The standardized image z_{image} of x_{image} is fed into a chain of convolutional layers as follows.

where CONV is a two-dimensional convolutional layer with a given filter size and activation function, and POOL is a max-pooling layer with a given pool size. The output size consists of three numbers: the first two numbers represent output image width and height, and the third number is the number of filters. We simply put h_{CNN} to MLP_{logit} by replacing eq. (C.3) to the following.

$$\boldsymbol{h}_{\text{logit}}^{(1)} = \text{FC}(\boldsymbol{h}_{\text{CNN}}, \boldsymbol{z}_{\text{kin}}), \quad \text{size: 200, activation: ELU}$$
(C.6)