The International Conference on Networked Systems (NETYS-2018)

Efficient means of Achieving Composability using Object based Conflicts on Transactional Memory

Sathya Peri, Ajay Singh and **Archit Somani** (sathya_p, cs15mtech01001, cs15resch01001)@iith.ac.in CSE Department, IIT Hyderabad, India

November 1, 2018





Introduction to STM

Problem with Read-Write STM

Motivation towards Object based STM (OSTM)

HT-OSTM Design

Execution Under HT-OSTM

Proof Of Correctness

Results

Conclusion





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- **Proof Of Correctness**
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Software Transaction Memory Systems (STMs)



Transaction

Sequence of instructions guaranteed to execute atomically.

Software Transaction Memory Systems (STMs)



Transaction

Sequence of instructions guaranteed to execute atomically.

History

Concurrent execution of transactions.

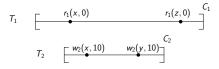


Figure: History of an STM





Software Transaction Memory Systems(STMs) are a convenient programming interface for a programmer to access shared memory using concurrent threads without worrying about concurrency issues.



- Software Transaction Memory Systems(STMs) are a convenient programming interface for a programmer to access shared memory using concurrent threads without worrying about concurrency issues.
- STMs export the following methods:
 - ▶ t_begin(),
 - t_read(),
 - t_write(),
 - tryC() and tryA().

We refer to these as Read-Write STMs(or RWSTM).

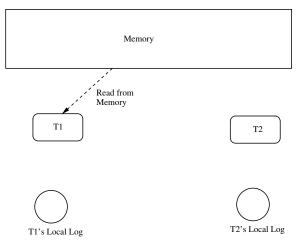




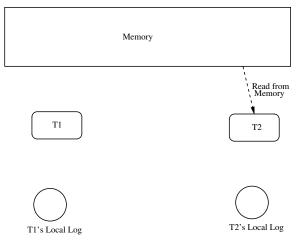
Memory





















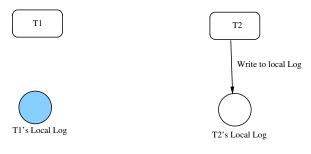






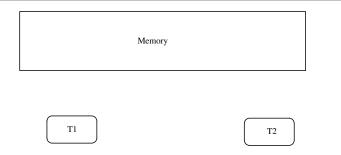




















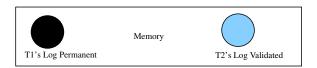






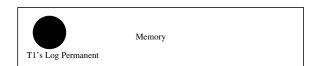
















Correctness Criterion: Opacity [Guerraoui and Kapałka]

- ▶ A history H is opaque if there exists a serial history S s.t.
 - $\mathbf 1.$ Operations of H and S are same
 - 2. S respects real time order \prec_{H}^{RT} and
 - **3**. \forall trans $(T_i) \in S$ is legal.



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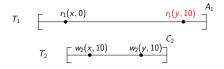


Figure: History H is not Opaque



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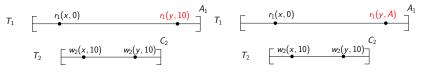


Figure: History H is not Opaque

Figure: Opaque History $H(T_1, T_2)$





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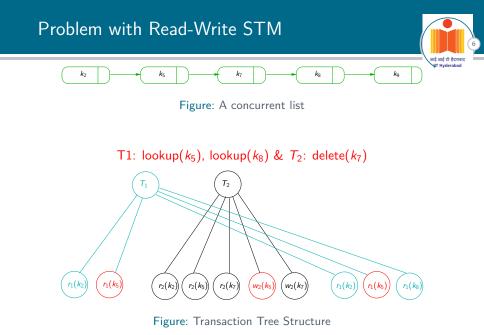


Figure: A concurrent list



Figure: A concurrent list

T1: lookup (k_5) , lookup (k_8) & T_2 : delete (k_7)



Problem with Read-Write STM

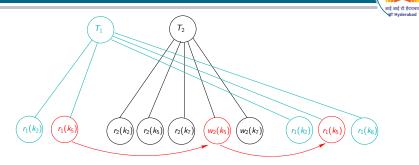


Figure: Cyclic Conflicts

Schedule could not be accepted by STM.

Problem with Read-Write STM

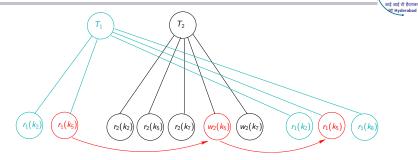


Figure: Cyclic Conflicts

Schedule could not be accepted by STM.



Figure: Cycle (Not Serial)





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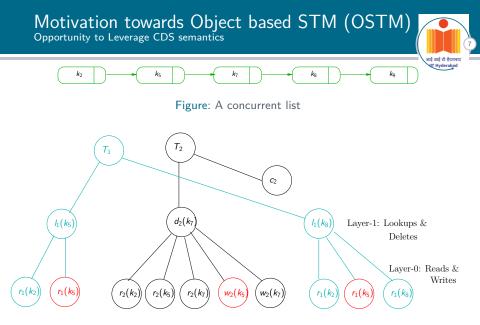


Figure: Transaction Tree Structure [Weikum and Vossen] Level-0 conflicts are irrelevant at Level-1.

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Motivation towards Object based STM (OSTM) Opportunity to Leverage CDS semantics

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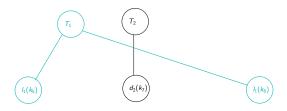


Figure: Pruned Tree

Motivation towards Object based STM (OSTM)

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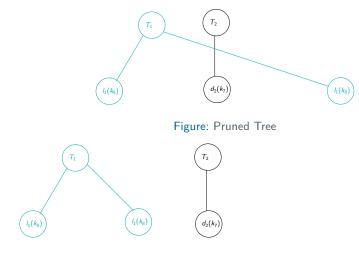


Figure: Sequential Schedule

Motivation towards Object based STM (OSTM) Opportunity to Leverage CDS semantics

Figure: Pruned Tree

 $d_2(k_7)$

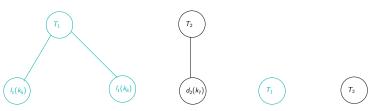


Figure: Sequential Schedule

 $l_1(k_5)$

Figure: Serial History

 $l_1(k_8)$

Schedule can be accepted by STM.

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Can we gain more concurrency yet ensuring composability and ease of programming?





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Solution: Yes



- ► Ease of programming ⇒ STM Interface.
- ▶ Efficient Composition ⇒
 Object Level Semantics.

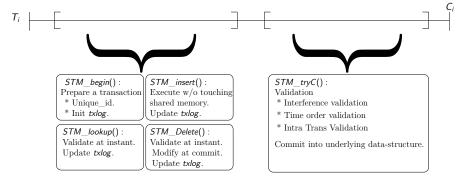


 Object-based STMs (OSTM) operate on higher level objects rather than primitive reads & writes which act upon memory locations.



- Object-based STMs (OSTM) operate on higher level objects rather than primitive reads & writes which act upon memory locations.
- OSTM model exports:
 - STM_begin(), STM_push(), STM_pop(), STM_peek() and STM_tryC() for stack.
 - STM_begin(), STM_insert(), STM_delete(), STM_lookup() and STM_tryC() for set.

OSTM: Detail View



Return value method execution phase

Update method execution phase

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Figure: Transaction lifecycle of OSTM





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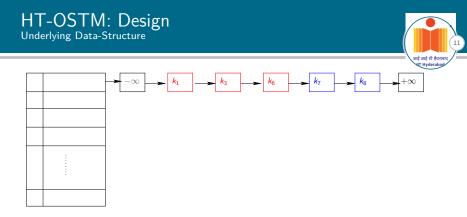


Figure: The underlying shared data-structure as hash table

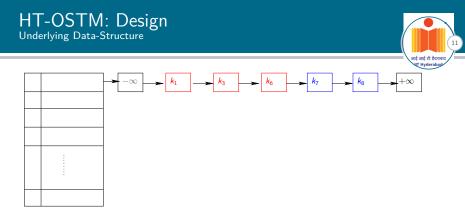


Figure: The underlying shared data-structure as hash table

HT-OSTM Exported methods:

STM_begin(), STM_lookup(), STM_insert(), STM_delete() and STM_tryC()

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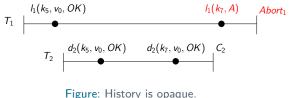
Challenge I Maintaining Information of Deleted Nodes for Satisfying Opacity $T_1 \downarrow f_1(k_5, v_0, OK) \qquad f_1(k_7, Nil) \qquad Abort_1$ $T_2 \downarrow d_2(k_5, v_0, OK) \qquad d_2(k_7, v_0, OK) \qquad C_2$

Figure: Time-Stamp of deleted node (k_7) is needed (Otherwise, not opaque).

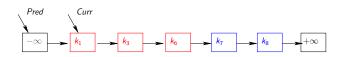
Challenge I Maintaining Information of Deleted Nodes for Satisfying Opacity



Figure: Time-Stamp of deleted node (k_7) is needed (Otherwise, not opaque).



Challenge I Contd.. Maintaining Information of Deleted Nodes



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Figure: Issue: Searching key k_8 over lazylist

- ► Nodes are only logically deleted using marked field.
- Each node stores time-stamp along with key, value & marked field.
- Red color depicts dead node (deleted) and blue color depicts live node (not deleted).





Figure: Issue: Searching key k_8 over lazylist



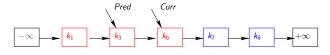


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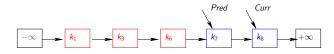
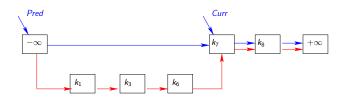


Figure: Issue: Searching key k_8 over lazylist

Challenge I Contd.. Advantage of lazyrb-list over lazylist



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Figure: Searching key k₈ over lazyrb-list

- Each node is having two links: red link, blue link.
- Blue links are pointing to live nodes.
- Red links are pointing to live nodes as well as dead nodes.
- List invariants
 - Increasing order of keys.
 - ▶ Nodes accessible by blue links ⊆ nodes accessible by red links.

Challenge I Contd.. Advantage of lazyrb-list over lazylist



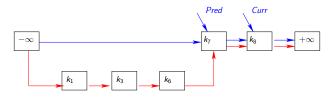


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Figure: Underlying zoomed in lazyrb-list

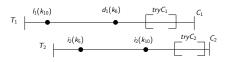


Figure: Example History

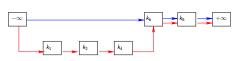
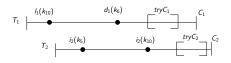


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Figure: Example History

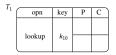


Figure: Transaction Log



Figure: Current Execution

 $I_1(k_{10})$



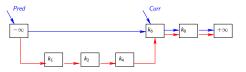


Figure: Underlying lazyrb-list: $l_1(k_{10})$ find region.

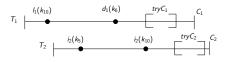


Figure: Example History



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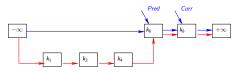


Figure: Underlying lazy-skip list: $l_1(k_{10})$ find region.

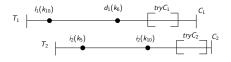


Figure: Example History



Figure: Transaction Log



Figure: Current Execution



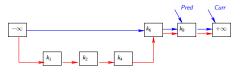


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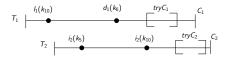


Figure: Example History



Figure: Transaction Log



Figure: Current Execution

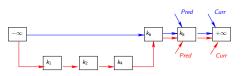
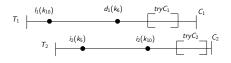


Figure: Underlying list: $l_1(k_{10})$: k_{10} not found.



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Figure: Example History



Figure: T_1 : $I_1(k_{10})$: Log updated.



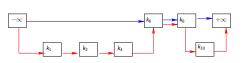
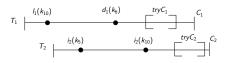


Figure: Underlying list: $I_1(k_{10})$: k_{10} added.



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Figure: Example History







Figure: Current Execution

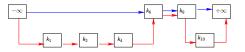
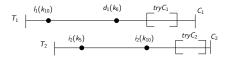


Figure: Underlying zoomed in lazy-skip list



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Figure: Example History



Figure: Current Execution

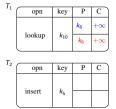


Figure: T_2 : $i_2(k_5)$: Log created.

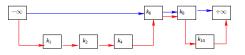


Figure: Underlying zoomed in lazy-skip list

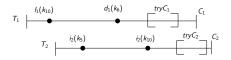
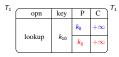


Figure: Example History



opn	key	Р	C
	,		
delete	<i>k</i> ₆		

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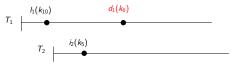


Figure: Current Execution



Figure: T_1 : $d_1(k_6)$: Log created.

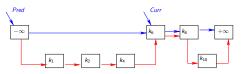
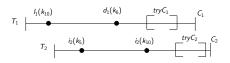


Figure: Underlying list: $d_1(k_6)$: k_6 find region.



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Figure: Example History

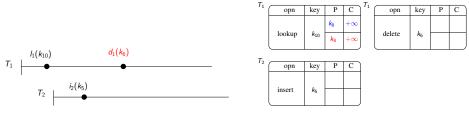


Figure: Current Execution

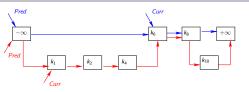


Figure: Underlying list: $d_1(k_6)$: k_6 find region.

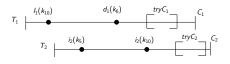


Figure: Example History



Figure: Current Execution



opn	key	Р	С
	,		
delete	<i>k</i> ₆		

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Figure: Transaction Log

 $l_1(k_{10})$

 T_2

 T_1

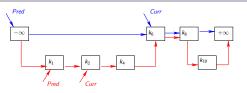


Figure: Underlying list: $d_1(k_6)$: k_6 find region.

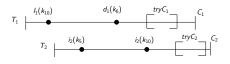


Figure: Example History

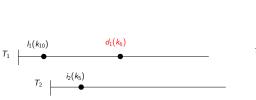


Figure: Current Execution



opn	key	Р	С
delete	<i>k</i> ₆		

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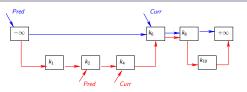


Figure: Underlying list: $d_1(k_6)$: k_6 find region.

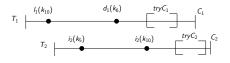


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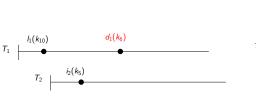


Figure: Current Execution



opn	key	Р	С
delete	k ₆		

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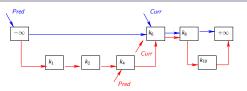


Figure: Underlying list: $d_1(k_6)$: k_6 found.

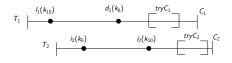


Figure: Example History



opn	key	Р	С
delete		$-\infty$	k 6
	<i>k</i> ₆	<i>k</i> 4	<i>k</i> 6

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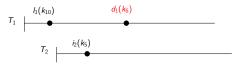


Figure: Current Execution

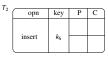
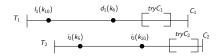


Figure: T_1 : $d_1(k_6)$ updated log.



Figure: Underlying zoomed in lazy-skip list



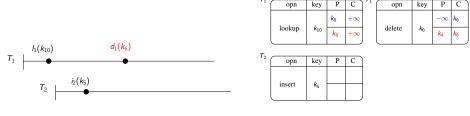
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> Ρ C

Figure: Example History

 T_1

opn



 T_1

opn key Ρ C

Figure: Current Execution

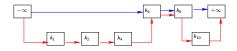
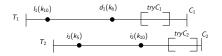


Figure: Underlying list: $i_2(k_{10})$: k_{10} .



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Figure: Example History

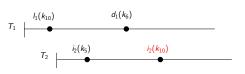


Figure: Current Execution

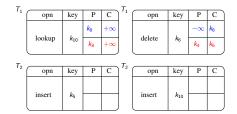


Figure: T_2 : $i_2(k_{10})$ log created.

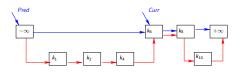
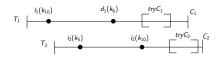


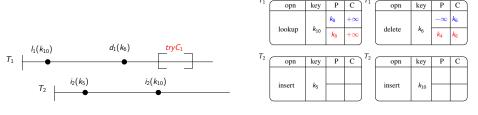
Figure: Underlying list: $tryC_1()$: $d_1(k_6)$.



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Figure: Example History

 T_1



 T_1

Figure: Current Execution

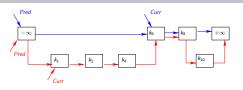


Figure: Underlying list: $tryC_1$ (): $d_1(k_6)$ find region.

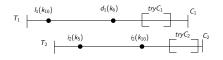


Figure: Example History

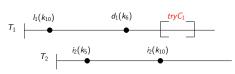


Figure: Current Execution





opn	key	Р	С
insert	k ₁₀		

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C

k₆

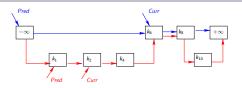


Figure: Underlying list: $tryC_1$ (): $d_1(k_6)$ find region.

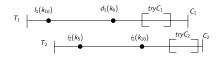


Figure: Example History

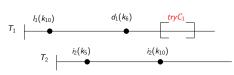
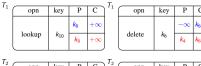


Figure: Current Execution





opn	key	Р	C
insert	k ₁₀		

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C

k₆

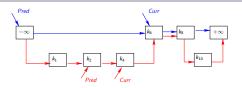


Figure: Underlying list: $tryC_1()$: $d_1(k_6)$ find region.

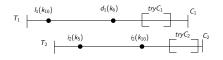


Figure: Example History

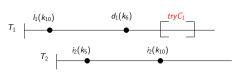


Figure: Current Execution





opn	key	Р	С
insert	k ₁₀		

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C

k₆

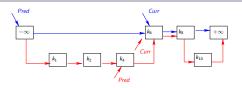


Figure: Underlying list: $tryC_1$ (): $d_1(k_6)$ found.

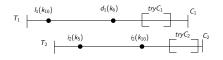


Figure: Example History

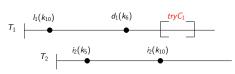
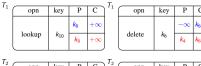


Figure: Current Execution





key	Р	С
k ₁₀		

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C

k₆



Figure: Underlying list: $tryC_1()$: $d_1(k_6)$ deleted.

Figure: Current Execution

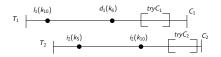
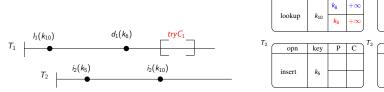


Figure: Example History

 T_1

opn key P C

delete



 T_1

opn key P C

ks

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 $-\infty k_6$

k4 k6

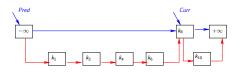
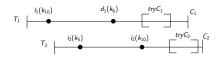


Figure: Underlying list: $tryC_2()$: $i_2(k_5)$ find region.



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Figure: Example History

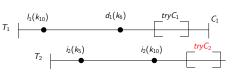


Figure: Current Execution

T_1					T_1					
-	opn	key	P	C	1	opn	key	Р	C	
	lookup	k ₁₀	k 8	$+\infty$		delete	<i>k</i> ₆	$-\infty$	<i>k</i> 6	
			k ₈	+∞				<i>k</i> 4	<i>k</i> 6	
T_2 T_2 T_2 T_2 T_2 T_3 T_2 T_3 T_2 T_3										
12	opn	key	Р	C	1 ²	opn	key	Р	С	
	insert	k5				insert	<i>k</i> ₁₀			
	l				J	l				

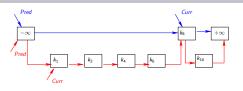


Figure: Underlying list: $tryC_2()$: $i_2(k_5)$ find region.

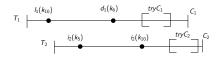


Figure: Example History

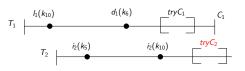
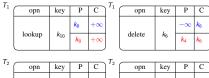


Figure: Current Execution





opn	key	Р	С
insert	k ₁₀		
msert	^{A10}		

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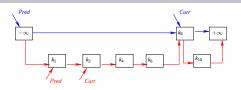


Figure: Underlying list: $tryC_2()$: $i_2(k_5)$ find region.

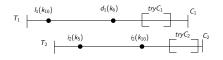


Figure: Example History

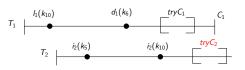
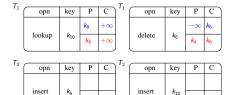


Figure: Current Execution







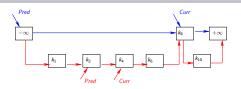


Figure: Underlying list: $tryC_2()$: $i_2(k_5)$ find region.

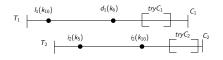


Figure: Example History

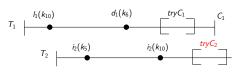
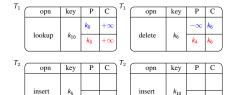


Figure: Current Execution





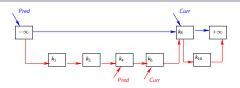
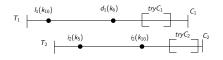


Figure: Underlying list: $tryC_2()$: $i_2(k_5)$ find region.



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Figure: Example History

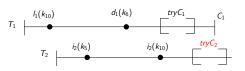


Figure: Current Execution



Figure: $i_2(k_5)$ log updated.

k4 k6

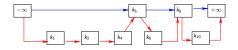
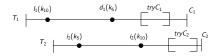


Figure: Underlying list: $tryC_2()$: k_5 added.



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Figure: Example History

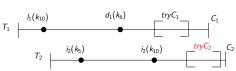
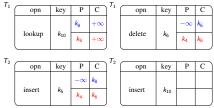


Figure: Current Execution



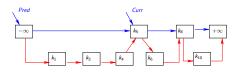
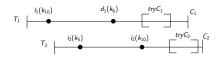


Figure: Underlying list: $tryC_2()$: $i_2(k_{10})$ find region.



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key

P C

 k_4

P C

 $-\infty k_6$

k₆

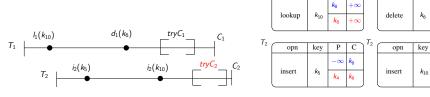
opn

Figure: Example History

 \overline{C} T_1

key P

opn



 T_1

Figure: Current Execution

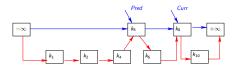
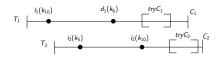


Figure: Underlying list: $tryC_2()$: $i_2(k_{10})$ find region.



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key

P C

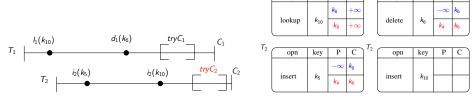
opn

Figure: Example History

 \overline{C} T_1

key P

opn



 T_1

Figure: Current Execution

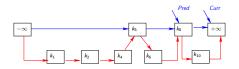
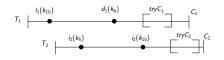


Figure: Underlying list: $tryC_2()$: $i_2(k_{10})$ find region.



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key

P C

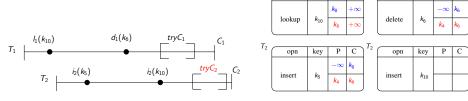
opn

Figure: Example History

 \overline{C} T_1

key P

opn



 T_1

Figure: Current Execution

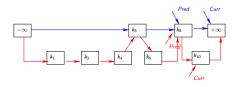
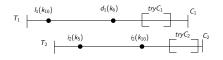


Figure: Underlying list: $tryC_2()$: $i_2(k_{10})$ find region.



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k₈ k₁₀

Figure: Example History

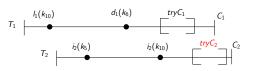


Figure: Current Execution



k4 k6

Figure: $i_2(k_{10})$ updated log.

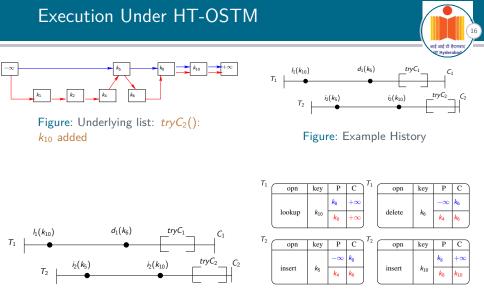


Figure: Current Execution





Introduction to STM

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Execution Under HT-OSTM

Proof Of Correctness

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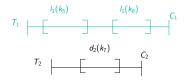


Figure: Overlapping Operations

Archit Somani, IIT Hyderabad, India



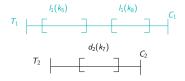


Figure: Overlapping Operations

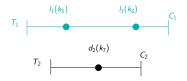


Figure: Operations are Linearized



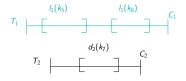


Figure: Overlapping Operations

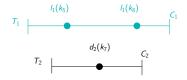


Figure: Operations are Linearized

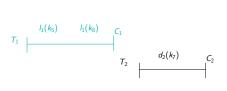


Figure: Serial History (T_1, T_2)



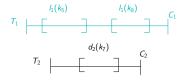
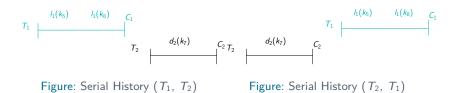


Figure: Overlapping Operations

Figure: Operations are Linearized



Proof Of Correctness



Theorem (1)

Consider a history H generated by HT-OSTM, there exists a sequential & legal history H' equivalent to H such that the conflict-graph of H' is acyclic.

Proof Of Correctness



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Consider a history H generated by HT-OSTM, there exists a sequential & legal history H' equivalent to H such that the conflict-graph of H' is acyclic.

Theorem (2)

A legal HT-OSTM history H is opaque iff CG(H) is acyclic.

Please refer the arxiv link—> https://arxiv.org/abs/1709.00681 for more detials.





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Setup

- ▶ Intel(R) Xeon(R) CPU E5-2690 v4 @ 2.60GHz, 56 NUMA CPUs.
- HT-OSTM vs Basic Time stamp ordering Protocol(BTO) [Weikum et al.] / Elastic STM(ESTM) [Gramoli et al.].
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- ► Time/thread and Number of aborts/thread

Experimental Setup

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- ► Time/thread and Number of aborts/thread

Parameters

- ► Lookup Intensive Workload: lookup:70%, insert:10% & delete:20%
- ▶ Update Intensive Workload: lookup:50%, insert:25% & delete:25%
- ▶ Key range: 1000 and Operations/transaction: 10

Results HT-OSTM against ESTM, RWSTM



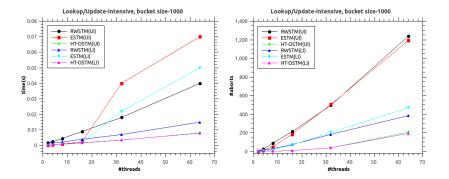


Figure: time vs #threads OR #aborts vs #threads

Results *list-OSTM* against LTM, NTM and BST



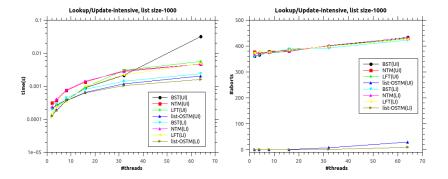


Figure: time vs #threads OR #aborts vs #threads





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Problem with Read-Write STM

Motivation towards Object based STM (OSTM)

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OSTM = Efficiency + Composition + Programmer friendly.

- ▶ HT-OSTM based on OSTM is *opaque*.
- HT-OSTM shows speedup of 3 to 6 times better than state of the art ESTM & RWSTM.
- list-OSTM outperforms state of the art LFT, NTM and BST by 30% to 80% across all workloads and scenarios.
- HT-OSTM and list-OSTM are having negligible aborts in comparison to other techniques.



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Future Work

- The OSTM model can be extended to other data structures like Queue, Stack etc.
- The OSTM model can be extended to multi-version OSTM (MV-OSTM) for achieving higher concurrency.

